



University Hospitals Birmingham Charity



**Queen Elizabeth
Hospital Birmingham**
Charity



**Heartlands
Hospital**
Charity



**Good Hope
Hospital**
Charity



**Solihull
Hospital**
Charity

Annual Report & Accounts

for the Year ended 31 March 2022

Company Registration Number 10004003

Charity Number 1165716

Company Address
5th Floor Nuffield House, Queen Elizabeth Hospital
Birmingham B15 2TH

Contents

Executive summary	3
Chair's statement	4
An overview	6
Charitable purpose and public benefit	6
Fundraising Regulator	6
Information about University Hospitals Birmingham NHS Foundation Trust	6
Our key performance indicators	7
Plans for the future	8
What we fund	9
Equipment	9
Research	10
Facilities	10
Training, education and patient support	12
How we are funded	13
Fundraising	13
Legacies	15
Governance	17
More about who we are and how we work	17
Our history	17
How do we do things?	17
Details of Trustees	17
Trustee recruitment, appointment and induction	18
Charity sub-committees	18
Our staff	18
Remuneration policy	18
Volunteers	19
Grant making structure and policy	19
Charitable expenditure	19
Charity's advisors and agents	19
Wider networks and related parties	20
Administrative Structure as at 31 March 2022	20
External support structure as at 31 March 2022	20
Risk management	21
Investment policy	21
Reserves policy	22
Financial review	22
Statement of Trustees' responsibilities	23
Independent Auditors' report to the Trustees	24
Statement of Financial Activities for year ended 31 March 2022	26
Balance sheet as at 31 March 2022	28
Notes to the financial statements	31

Executive Summary

University Hospitals Birmingham Charity (“the Charity” or “UHB Charity”) exists to support the patients and staff of University Hospitals Birmingham NHS Foundation Trust (“UHBFT”), by funding equipment, facilities, research and patient support that are over and above what can be provided by the NHS.

UHBFT operates four of the largest hospitals in the Birmingham area - the Queen Elizabeth Hospital Birmingham (“QEHB”), Heartlands Hospital, Good Hope Hospital and Solihull Hospital as well as Birmingham Chest Clinic, Solihull Community Services and Umbrella Sexual Health Services.

Together, the hospitals see over two million patients a year. Patients are referred to the hospitals’ many centres of excellence from across the UK. We also support the 22,000 staff who work throughout our hospitals.

The Charity also operates under four individual working names associated with each hospital – Queen Elizabeth Hospital Birmingham Charity, Heartlands Hospital Charity, Good Hope Hospital Charity and Solihull Hospital Charity – but the individual names continue to be phased out in favour of the official title of University Hospitals Birmingham Charity to reflect our work across all our hospitals.

The Charity engages with patients, families, donors and supporters across Birmingham and the wider West Midlands, and Trustees want to see an increase in total grants made and total fundraising income.

Over the past ten years, the Charity has funded over £50 million of equipment, facilities, research and patient welfare, all of which is over and above what the NHS can fund.

The CyberKnife and Tomotherapy machines which were funded by the Charity are providing world class care for radiotherapy patients at QEHB, the Heart in a Box machine and Ann Fox Foundation liver perfusion devices are increasing the numbers of organs suitable for transplant, and Charity funded research is discovering ways to repurpose existing drugs to treat cancer patients.

Fisher House, the “home away from home” for military patients and their families, has now been a safe haven for nearly 6,000 patients and family members in the nine years since it opened in June 2013 and has provided over 30,000 nights’ accommodation.

The Charity also operates Bottle Cottages next to QEHB which provide similar “home away from home” services for NHS patients, including Karen’s Home from Home which is kindly supported by the Karen Morris Memorial Trust. The Charity has plans to expand this service by opening an additional Home from Home close to Heartlands Hospital.

None of this would be possible without the generous support of our fundraisers and donors, and we thank each and every one of them.

We are very pleased to have worked with a number of local charities, including Libby Mae’s Little Angels, Ladies Fighting Breast Cancer, The Get A Head Charitable Trust, Friends of Queen Elizabeth Medical Centre, Friends of Good Hope Hospital, Friends of Solihull Hospital and Breast Friends Solihull. In partnership with these organisations, we have continued to support ground breaking medical research, provide cutting edge medical equipment, and improve patient experiences across the hospitals.

We are especially grateful to the US charity, Fisher House Foundation, and the UK charities, Help for Heroes and SSAFA, for their support. Without their contribution, Fisher House could never have been built, and we are very grateful to Help for Heroes and SSAFA for their ongoing support of £50,000 each per year towards the Fisher House running costs.

This is just a snapshot of the progress the Charity has made during the last year, including being a major provider of research grants in the region and funding services and equipment that are over and above that which the NHS provides.

The report that follows provides full information on the Charity’s history and background, its structure and governance, its plans for the future, and detailed reports on its revenues and expenditure.

The Charity is proud to have supported our incredible hospitals during a tough two years and we will look forward to supporting our patients and staff in the years to come with a range of exciting projects.

Chair's Statement

The past two years have been some of the toughest times the NHS has ever faced. I wish to commend the 22,000 staff across UHBFT for their dedication to their patients in the face of unrelenting pressures. Whilst normal life has started to return for the majority of us, our hospitals are still facing huge demands across all services and, once again, our staff are stepping up to the challenge.

Looking back on the last twelve months I am amazed by the resilience of our staff, patients and their families as they navigated unprecedented challenges. I also wish to express my gratitude to everyone who has continued to rally behind their local hospitals. As in the first year of the pandemic we have received generous support from across our local communities.

Even with the restrictions, our donors and fundraisers continued to support the Charity, and over the past year we were able to raise an incredible £3.66 million.

Many regionally based companies also provided much needed support to the Charity, with particular thanks to National Grid, Wesleyan and BIFFA for their kind financial donations.

The region's sporting clubs have continued to champion their local hospitals, with Birmingham City FC, Aston Villa FC, and Moseley Rugby Club continuing and strengthening their links with the Charity. We are delighted that Birmingham City FC chose the Charity as its official charity partner for a second year.

These companies and organisations have enabled the Charity to continue to support staff, patients and families across so many different areas, and later in this report you will see many case studies of the areas we have funded, together with stories of our amazing donors and fundraisers. I believe they showcase the vital difference which the Charity is able to make, and why your support is so essential.

The pandemic has only reinforced our appreciation of the fantastic work our NHS staff do day in, day out caring for their patients. We believe the role of the Charity is more important than ever as we continue to support our hospitals on the road to the 'new normal'. We are committed to be there for patients, families and staff, providing equipment, facilities, research and welfare, thanks to our generous donors and fundraisers.

We are looking forward to a brighter future and we know there is so much more to do. We have ambitious plans to support the building of the new Birmingham Transplant Centre next year which will revolutionise the care we can provide to transplant patients and their families. We will also open a new Home Away From Home close to Heartlands Hospital so that parents of premature babies can stay close by, whilst their little ones are cared for by our expert teams. We look forward to working in partnership with UHBFT in making the Heartlands Treatment Centre a reality, which will help speed up diagnosis, reduce waiting times and raise the standard of health for its users.

Whilst we still use our four working names linked to our individual hospitals - Queen Elizabeth Hospital Birmingham Charity, Heartlands Hospital Charity, Good Hope Hospital

Charity and Solihull Hospital Charity – the Charity has moved generally to using a single brand, University Hospitals Birmingham Charity, to demonstrate our work across the whole of the Trust.

Our supporters will have noticed a rebrand of our materials and we have adopted a single social media identity to reflect that change.

Donors and fundraisers can, of course, continue to choose to donate to a specific fund within the Charity for each hospital.

Public awareness of UHBFT increased during the pandemic, and that has allowed the Charity to use our brand in a more meaningful and strategic way.

We believe that reassuring donors and fundraisers as to how the money raised is being spent will benefit patients by increasing the amount of funding we can attract and then spend to provide "over and above" items across UHBFT.

The Charity is registered with the Fundraising Regulator, who is responsible for the Fundraising Preference Service, and was a member of its predecessor, the Fundraising Standards Board.

The Charity is an Organisational Member of the Institute of Fundraising, we encourage all our fundraising staff to join the Institute of Fundraising as part of their professional development, and our Chief Executive holds MInstF(Cert) status with the Institute.

How we use your personal data is important to us and to you, and we only use it in the ways you have asked us to. We only send our newsletters to people who have asked for them, and you can remove yourself from that mailing list at any time by emailing charities@uhb.nhs.uk.

All of our fundraising activities are to make progress towards one goal – supporting patients and staff at UHBFT. As you will see in this report, this is done by purchasing cutting edge medical equipment normally only available abroad or in private practice, providing facilities not normally seen in NHS hospitals (such as Fisher House), funding world class research at the hospitals and universities across the region, or simply by making a patient's stay in hospital just that little bit more comfortable.

Through your kind and generous support, we can continue to make a difference to the hospitals' patients and families, not by replacing government funding, but by adding to that level of care provided in other NHS hospitals, and helping make our hospitals world class facilities.

It is important that we can get that message out into the public domain, and our job is made so much easier because of the wonderful level of support we get from local, regional and national media outlets.

Particular thanks must go to BBC Midlands Today, BBC Radio WM, Heart FM, Free Radio, ITV Central News, Birmingham Mail, Birmingham Post and British Forces Broadcasting who all

continue to be very supportive in carrying news stories about the charity and its support of patients.

The Trustees are grateful to UHBFT who provide finance administration to the charity through a Service Level Agreement. In particular, I would like to thank Dr David Rosser (Chief Executive of UHBFT) and his management team for their valuable support of the Charity, ensuring that we have good links throughout the hospitals and helping us to identify ways we can benefit patients.

We would also like to acknowledge the valuable support of volunteers, both those at the hospitals and the numerous volunteers carrying out their own fundraising events across the country.

Notably, we would like to acknowledge the support given by all the staff at the hospitals who have continued to support the Charity through fundraising events, despite the current pressures they are facing. They have hiked Snowdon, abseiled off buildings and even jumped out of planes, all to raise money to make their wards and departments a better place for patients to be.

The role of Trustee is vitally important to the Charity, and I would like to thank all my fellow Trustees for their support and

dedication. Special thanks go to Peter Mayer and Andrew Pemberton who both retired as Trustees this year, after over a decade of service by both of them. Also, our thanks to our own Charity staff, who have worked tirelessly and selflessly throughout the pandemic.

I hope you will enjoy reading this report and hearing a bit more about the projects we have already funded and the stories behind some of our fundraising successes. Please do let me know what you think of the report, either by emailing me at charities@uhb.nhs.uk or writing to me at University Hospitals Birmingham Charity, Fisher House, Mindelsohn Way, Queen Elizabeth Hospital, Birmingham, B15 2GN. You can see more about the hospital charity at our website, www.hospitalcharity.org.

Thank you all for your support



Dave Mackay
Chair

An Overview

Charitable purpose and public benefit

University Hospitals Birmingham Charity, company number 10004003, registered charity number 1165716, is the official charity of University Hospitals Birmingham NHS Foundation Trust.

The Charity exists for the benefit of patients and staff at UHBFT and provides funding for support that is over and above that provided by core NHS funding.

The Charity operates predominantly across four hospitals – Queen Elizabeth Hospital Birmingham, Heartlands Hospital, Good Hope Hospital and Solihull Hospital but also supports UHBFT patients wherever they are treated, including regional dialysis clinics, Birmingham Chest Clinic, Solihull Community Services and Umbrella Sexual Health Services.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Trustees oversee charitable expenditure to ensure that funding is for the clear benefit of patients, their families, UHBFT staff, and others using the hospitals.

Under the Charities Act 2011, charities are required to demonstrate that their aims are for the public benefit. The two key principles which must be met in this context are first, that there must be an identifiable benefit or benefits; and secondly, that the benefit must be to the public, or a section of the public.

Charity Trustees must ensure that they carry out their charity's aims for the public benefit, must have regard to the Charity Commission's guidance, and must report on public benefit in their Annual Report.

The Trustees regularly monitor and review the success of the Charity in meeting its key objectives of benefiting patients at UHBFT. The Trustees confirm, in the light of the guidance, that these aims fully meet the public benefit test and that all the activities of the charity are undertaken in pursuit of its aims.

The Trustees have considered the impact of COVID-19 and do not anticipate that COVID-19 will cast significant doubt on the company's ability to continue as a going concern.

A vital role of the Charity is its work in supporting and enhancing UHBFT's outstanding quality of clinical activity, and accordingly this report includes some general information about UHBFT.

Fundraising Regulator

The Charity subscribes to the voluntary fundraising regulation of the Fundraising Regulator and has paid the full amount of the voluntary levy to the Fundraising Regulator since its inception.

You can find out more about the Fundraising Regulator and the Code of Fundraising Practice at www.fundraisingregulator.org.uk

Information about UHBFT

UHBFT is one of the largest teaching hospital trusts in England, serving a regional, national and international population.

It includes Heartlands Hospital, the Queen Elizabeth Hospital Birmingham, Solihull Hospital and Community Services, Good Hope Hospital in Sutton Coldfield and Birmingham Chest Clinic. It also runs a number of smaller satellite units, allowing people to be treated as close to home as possible.

UHBFT sees and treats more than 2.2 million people every year across its sites and its hospitals deliver more than 11,000 babies a year, making it one of the largest maternity services in Europe.

UHBFT is a regional centre for cancer, trauma, renal dialysis, burns and plastics, HIV and AIDS, as well as respiratory conditions like cystic fibrosis. It also has expertise in premature baby care, bone marrow transplants and thoracic surgery and has the largest solid organ transplantation programme in Europe.

UHBFT provides a series of highly specialist cardiac, liver and neurosurgery services to patients from across the UK.

World-renowned for trauma care, UHBFT has developed pioneering surgical techniques in the management of ballistic and blast injuries, including bespoke surgical solutions for previously untreatable injuries.

As a result of its clinical expertise in treating trauma patients and military casualties, the Queen Elizabeth Hospital Birmingham has been designated both a Level 1 Trauma Centre and the host of the UK's only National Institute for Health Research Surgical Reconstruction and Microbiology Research Centre.

The Wellcome Trust Clinical Research Facility is also hosted by UHBFT at QEHB. This development is a joint initiative between UHBFT and the University of Birmingham Medical School.

UHBFT partnered with the University of Birmingham and Birmingham Women's & Children's Hospital NHS Foundation Trust to create Birmingham Health Partners, bringing together clinical, scientific and academic excellence across an integrated medical and life sciences campus. Birmingham Health Partners operates the Institute of Translational Medicine on the UHBFT campus. The Centre for Rare Diseases, supported by the Charity, is part of the Institute of Translational Medicine.

Our key performance indicators

The Trustees reviewed the Charity’s business plan during the last twelve months, and developed a series of seven key performance indicators to clarify if the Charity is performing against its business plan.

These key performance indicators are assessed on a traffic light basis – green means the key performance indicator is being met, yellow means it is not met but plans are in place to rectify this and red means the key performance indicator is not being met and needs immediate action or constant monitoring

These key performance indicators are discussed with, and reviewed by, our internal auditors.

Key Performance Indicators

KPI No.	Status	Aim	KPI	Action
1		To raise funds so the charity can support patients across UHBFT	Meet budgeted income target of £3,000,000 (reduced from original target of £5,000,000 because of COVID-19)	Achieved (£3,661,000).
2		To spend charitable funds to support patients across UHBFT	Meet budgeted expenditure target of £4,900,000	Very nearly achieved (£4,866,000)
3		To maximise how much per £1 spent is spent on charitable activities	Amount per £1 spent which is spent on charitable activities to be above the charity sector average	Achieved. 85p of every £1 spent is spent on charitable activities against the charity sector average of 74p.
4		To maximise the amount raised per £1 spent on fundraising costs	To strive to raise at least £5 per £1 spent on fundraising costs	Achieved. Raised £5.22 per £1 spent on fundraising against the charity sector average of £4.
5		To raise awareness of the Charity across social media channels	Increase in followers on Facebook, Twitter, Instagram	Achieved – numbers grew across all three social media platforms plus new followings on TikTok and YouTube.
6		To be an employer of choice	Monitor staff turnover rates with the aim to be lower than the charity sector average	Staff turnover 18.5% against charity sector average of 18.1%.
7		To have a healthy and supportive working environment	Monitor staff absence rates with the aim to be lower than the charity sector average	Achieved. Staff absenteeism of 2.2 days per employee p.a. against charity sector average of 6.2 days per employee p.a.

Plans for the future

The Charity met during the year with UHBFT on a regular basis and, based on these discussions, the Trustees have identified the following areas for support:

1. Heartlands Treatment Centre

UHBFT has obtained planning permission for a new four storey facility at Heartlands Hospital which will transform the provision of services to patients.

The building will offer world-class facilities and house a range of health services, including outpatients, endoscopy and imaging, which will care for hundreds of thousands of patients each year.

The Charity has identified the need to raise £1,000,000 to support UHBFT in this area. This will fund a state of the art MRI scanner which will help patients be seen quicker, get diagnosed faster and therefore improve outcomes overall. Having an MRI can be a daunting experience; this is why the Charity will also decorate the MRI Suite, treatment and consultation rooms with wall glamour and comforting images which will create a more relaxing and calming environment for the patients who spend their time being treated there.

2. Birmingham Transplant Centre

UHBFT is Europe's largest solid organ transplant unit but currently the services are spread across the hospital sites.

By consolidating pre-transplant, surgery and post-transplant services in a central location at QEHB, UHBFT aims to provide a better service to patients and families, whilst strengthening the research potential of the unit as it will be easier to introduce new and advanced practices such as Heart in a Box and the Ann Fox liver perfusion machines when all services are based in a single location.

The Charity has identified a need to raise £2,054,000 to support UHBFT in this area by bringing together all of the specialist transplant care available to the patient, taking away a huge amount of stress and therefore speeding up recovery time, and as a result UHBFT will be able to offer even more transplants and therefore save even more lives.

3. The Giles' Trust

QEHB is a national centre of excellence for the diagnosis, treatment of, and research into brain tumours.

The Charity is working with Professor Colin Watts and brain surgeon Mr Ismail Ughratdar to fund cutting edge medical equipment for the diagnosis and treatment of brain tumours, and is funding a Clinical Research Nurse to increase the number of patients taking part in clinical research trials by recruiting appropriate patients and administering new treatments.

The Charity is delighted to be working with former England cricketer Ashley Giles and his wife Stine Giles in the area of brain tumour research, under the banner of The Giles' Trust.

4. Fisher House

Fisher House provides a "home away from home" for military patients and their families. Fisher House offers a home away from home for injured military personnel, many of whom served in Iraq and Afghanistan and continue to return to the hospital for more surgery, and personnel who are receiving treatment for illness – from cancer care to transplants.

Fisher House receives no funding from the NHS or the Ministry of Defence and relies on charitable donations.

The Charity needs to provide £250,000 each year to run Fisher House and the Friends of Fisher House scheme allows groups, companies and individuals to support Fisher House directly.

We need your support

We will be seeking the community's support in raising the funds required for the above major projects. If you would like to learn more about our ambitious plans for all sites, and how you can play a part, please contact the Charity at charities@uhb.nhs.uk

You can also visit our website at www.hospitalcharity.org

If you would like to know more about how we operate, both administratively and for fundraising, please contact Mike Hammond, the Chief Executive of the Charity, at mike.hammond@uhb.nhs.uk or on 0121 371 4852 or by writing to:

Mike Hammond
Chief Executive
University Hospitals Birmingham Charity

Fisher House
Mindelsohn Way
Queen Elizabeth Hospital
Birmingham
B15 2GN

What we fund

The Charity funds projects in four main areas – equipment, research, facilities, and staff & patient welfare. In this section of the report we give some more information together with some case studies as real life examples of what we fund.

Equipment

The Charity is proud to supplement the excellent quality of care on offer to the over two million patients who are treated across UHBFT each year. The Charity provides equipment that is ‘over and above’ core NHS funding. This can mean funding equipment that is on the cutting-edge of modern medicine and so not yet available on the NHS, or funding additional machines that will benefit patients by reducing waiting times.

In 2021/22, the Charity spent £1,463,000 on new equipment. (2020/21: £523,000).

Some of the major items of equipment purchased are detailed below.

Designated Fund	Equipment
Ambulatory Care & Diagnostic Centre	Philips MRI Scanner
UHB Charity	Siemens Mobile Ultrasound
UHB Charity	Heidelberg Angiography upgrade
QE Cancer Appeal	Panasonic Camera system
UHB Charity	Orsim portable virtual bronchoscope trainer
UHB Charity	Accuvein portable vein visualisation machine
Good Hope Hospital Charity	Verathon bladder scanners

Case study one: INR Testing Machines for Heart Transplant patients

INR Testing Machines measure the levels of warfarin in a patients’ blood. For patients who are so unwell, it is essential they can monitor their levels before they become dangerously high.

Patients on the heart transplant waiting list are now able to carry out essential tests in the comfort of their own home, and send the results to their doctor, thanks to the generous donation of home testing machines by Friends of Queen Elizabeth Hospital. The machines allow patients to monitor their levels of warfarin to ensure it is at safe levels at home, rather than having to go into hospital every week. This is incredibly important for their mental health. Having to constantly go to hospital can place a huge burden on a patient’s wellbeing, not to mention the financial and time constraints associated with travel. Being able to keep vulnerable patients out of hospital as much as possible has always been important, but this was made even more essential during the pandemic.

One patient, Dave, was given one of these machines and told the Charity about the difference it has made to his quality of life: “Before I got this machine, my little granddaughter thought I lived in hospital I was there so much! It hasn’t just changed my life, it’s given me my life back. I’m able to keep an eye on my levels from the comfort of my own home and take back a bit of control, something I don’t have much of living with end stage heart failure. I’m so grateful for the hospital charity for giving me some of my independence back and helping me feel more like my old self.”

We know how important it is for patients to spend time with the people they love. We are proud to be able to give them the freedom, and security, to enjoy their lives in the knowledge they are being looked after.

Case Study Two: VoxelMan Temporal Bone Simulator – this purchase has enabled the establishment of the ENT Temporal Bone Digital Lab at the QE.

The Voxelman Temporal Bone Simulator is a virtual reality simulator that uses complex robotics and graphics technologies to allow the temporal bone to be simulated in 3D. This allows surgeons to be able to train and improve their surgical skills away from the operating theatre and also reduces the need for cadaveric specimens. The temporal bone is an incredibly complex structure and therefore the more time surgeons can spend honing their skills, the better outcomes for patients in surgery. Thanks to the purchase of this simulator, staff across UHB NHS Foundation Trust have more training and experience than their counterparts across the country. This helps to ensure Birmingham remains a hub of medical excellence in this field.

The ultimate benefit of a Voxelman Simulator to patients is that they will have more experienced Ear Surgeons, who are more confident in their understanding of the complex temporal bone anatomy. This will enable them to plan and execute operations more accurately, leading to safer and quicker procedures with fewer post operative complications, and an overall shortened, and more comfortable patient journey.

Lucy Dalton, Consultant ENT Surgeon, adds that: “This piece of equipment has stimulated ENT catch up training in ear surgery within the West Midlands Deanery. This was a particular area of need in view of the significant impact the Covid-19 pandemic has had on training in craft specialties. As such the establishment of the ENT Temporal Bone Digital Lab could not have been more timely. We are so grateful for the support of UHB Charity in this exciting venture.”

Mike Hammond, Charity Chief Executive said: “The Charity is proud to continue to be at the forefront of technological developments and provide our staff with cutting edge equipment such as this which will increase the skills of our surgeons, and help establish the QE as a prestigious teaching institute for Ear Surgeons.”

Research

An important part of the Charity's charitable grants programme is funding research at UHBFT and associated universities. The charity funds research into any field of medicine carried out at UHBFT and gives grants of a maximum of £70,000 that can be used over two years. The intention of these pump priming research grants is often to help clinicians develop their research sufficiently to then apply for larger national grants but with an emphasis on delivering patient benefits in the short to medium term, rather than blue sky medical research.

During 2021/22 no new research grants were awarded due to new research projects being put on hold during the COVID pandemic [2020/21: £150,000] but progress was made on research projects already awarded funding [see case studies below]

Case Study One: Clinical trial for localised kidney Cancer using CyberKnife

CyberKnife was brought to QEHB following a successful £3.5 million fundraising appeal by the Charity and has changed the way that patients are treated for head and neck cancers. CyberKnife offers a non-invasive alternative to open surgery and can be used to treat tumours anywhere in the body. It enables very high doses of radiation to be targeted directly at the tumour with pinpoint accuracy, without damaging the healthy tissue.

Dr Geoff Heyes was instrumental in bringing the machine to the hospital. He said: "The team at QEHB were thrilled to treat our 2000th patient in 2020 on the CyberKnife machine bought in 2013 by UHB Charity. This makes us the busiest CyberKnife unit in the UK.

A research grant given this year by UHB Charity, funded a trial which aimed to treat previously untreatable kidney cancers. As CyberKnife is primarily used to treat head and neck cancers, this trial will see if CyberKnife's effectiveness can be translated to kidney cancers.

The most important aspect of this trial is its potential to improve the quality of life for patients who were previously told they were out of options.

With an ageing population and increasing incidence of kidney cancer, this trial represents a beacon of hope for patients who would otherwise be told there is nothing more that can be done for them.

We are delighted to have funded this trial and give patients the ability to spend precious time with their loved ones and have a better quality of life.

Case study two: Fit4Surgery app

Clinicians at QEHB have been able to create the Fit4Surgery App thanks to funding from the Charity. The app aims to improve patient outcomes by focusing on prehabilitation and rehabilitation surgery exercises as well as diet and lifestyle advice. The app allows patients to report their progress and therefore clinicians can monitor their patients from home rather than weekly clinic sessions. Most importantly this keeps

vulnerable patients out of hospital; they can remain in the comfort of their own home and avoid the potential stresses of a clinical environment.

A patient who used the app told us: "My recovery has been amazing and far quicker than was expected. The pain has been nothing like my previous surgeries. The app has helped me both physically and psychologically adjust to my life post surgery. I would recommend it to anyone."

A pilot study of those using the app found that there were significant improvements to their recovery. This is brilliant not only for patients, but reduces the pressure on the hospital as they will see fewer post surgery complications. The team at QEHB are sharing the app with other Trusts and therefore the benefits of this grant will not only be felt in the West Midlands but all over the UK.

Thanks to this research grant by the Charity, more people will be able to recover quicker, get back to their old selves and lead a happy healthy life.

Facilities

As well as funding equipment and research, the Charity sometimes funds improvements to buildings or even completely new facilities. In 2021/22 the Charity spent £1,564,000 in this category. [2020/21 - £609,000]

The Charity funded the construction of Fisher House, our home away from home for military patients and their families, and the building costs have been capitalised and are spread out over a period of ten years in the accounts.

The Charity's Home away from Home appeal helps to provide patients and families with free accommodation near to our hospitals, helping to keep families together during difficult times in their lives. Our Homes from Home appeal began with Fisher House, and has continued with Karen's Home from Home. We are grateful to the Karen Morris Memorial Trust for their funding of Karen's Home from Home for leukaemia patients and their families.

Case study One: Home Away From Home "was our salvation"

For patients and their families, visiting hospital can be a stressful experience without the added pressure of trying to find somewhere to stay to be near a loved one. We provide these homes away from homes to alleviate that stress, and make visiting hospital, under what can be very difficult circumstances, as easy as possible.

One family we were proud to support this year was Hayley and her son Joe. Read in her own words about the difference having a Home Away From Home meant to her family.

"Our son is 17 years old. He is autistic, has a mild learning difficulty and also suffers from PTSD. He has had various health problems from birth and has had numerous stays in hospital with many surgical procedures. In 2021 he required a liver

transplant, which thankfully he was able to receive. Up until the transplant, and due to COVID-19 restrictions, we as parents had to share his care, spending two days at a time with him, with the other parent travelling to and from our home in Wales; a round trip of around 120 miles.

“It was an extremely trying time for us all. We were both absolutely exhausted. Following the transplant he was in ITU for four days. It was then that we were informed that there was accommodation available for us, which turned out to be an absolute blessing for our family at such a traumatic time.

“We were still only able to visit him one at a time but to have a base so close was comforting. Being in the middle of the pandemic just added to the importance of having a safe haven so close to him. We got the keys and didn’t really know what to expect. When we walked through the door our eyes lit up. It was a real home from home. We were able to rest and recuperate, to ‘recharge our batteries’ for what we knew would be an important period in our son’s recovery.

“In time he was able to spend time with us at the cottage, which was agreed by all to be important in aiding his recovery. It became such a lifeline, with wonderful support staff at the end of the phone, and there was always someone on hand at the charity.

“To be able to get a good night’s sleep, to be able to cook our own meals, launder our clothes, have a shower and have some ‘me’ time was so lovely and comforting. We look back now and realise that we were barely functioning before having the cottage. It was our salvation. It was such a big part in our son’s healing process, helping keep him safe. The respite we got from the Bottle Cottage project was incredible for our mental wellbeing.

“I was able to video call my family in comfort, keep them up to date on his progress, have a cry but also feel rested and safe. He was able to enjoy a few home comforts, which mainly involved his gaming set up, in a family setting knowing he was only minutes away from the excellent care of the QE staff if it was required.

“We can honestly say that to have the charity’s support, with their excellent facilities, so close to the QE is an absolute lifeline for families going through such traumatic times of having loved ones in hospital and we can’t thank them enough.”

Charlotte Schofield, Director of Fundraising at the Charity, said: “As a mum myself I can’t begin to imagine what Hayley and Joe have been through. We know parents without question should be as close as possible to their children in hospital. I am so proud we are able to keep families together during the most difficult times of their lives.”

Case Study Two – Refurbishment of the Oncology Treatment Suite at QEHB

The treatment suite will see up to 400 patients a week attend for their varying cycles of

chemotherapy. We understand the cancer journey can be a challenging one and treatment can be tough so we want to ensure this treatment suite is as comfortable and welcoming as possible. This is especially important for our younger patients

[16-25] who will have their own dedicated area within the unit, with games consoles and tv’s to keep them entertained.

Lesley Golby, Ward Manager said: “The area is now a bright, clean and a pleasant place for staff and patients alike to work and have treatment.”

This refurbishment of the unit was carried out by the Trust, with the Charity being able to enhance this department by providing a brighter, more colourful and less clinical feeling environment to make patients feel more at ease during their treatment.

The Charity is proud to help ensure the patient journey is as comfortable as possible and patients are put at ease whilst receiving treatment. Staff and patients tell us the ward feels completely different now and is a much nicer place to be and work.

Case Study Three – Bringing joy to Children’s Departments across Heartlands, Good Hope and Solihull Hospitals.

Going to hospital can be a very scary time for children and we want to do whatever we can to make their visit as easy as possible.

The Charity has been upgrading and renovating the children’s wards at Heartlands Hospital, Good Hope Hospital and Solihull Hospital. The wards have been undergoing huge makeovers to create a more inviting and friendly environment for children when arriving at the hospital sites. Before the renovations, the wards appeared clinical looking and dull which created an intimidating environment for children. The Charity asked staff, patients and families what would make a difference. The new and improved wards feature their recommendations and have helped to improve children’s experiences when arriving in an unfamiliar and daunting environment. Children’s Outpatients at Heartlands has also had a facelift, making it clearer where the children’s departments are located within the hospital. At Good Hope Hospital, the Children’s Assessment Unit has been newly decorated and the Charity assisted the build of the new Children’s Emergency Department. It is a fantastic new department with lots of bright colours, friendly faces and interactive games to make children feel as relaxed and at ease as possible.

Grandmother, Sue Arnold, attended the department with her three-year-old grandson Logan after he had an accident whilst on a tractor with his grandfather. Sue said, “Thank you to the brilliant staff at Good Hope Hospital for treating my grandson, the new facilities are superb! It was like an oasis of calm, all beautiful and bright. There was a TV with cartoons on and lovely artwork on the walls. It helped Logan relax as soon as we walked in. I was blown away by it because it’s all brand new and it’s wonderful that children are being given their own space because their needs are so different.”

We want to make sure any child visiting our hospitals feels safe and comfortable. We believe by making their surroundings friendly and welcoming, this goes a long way to making their treatment as quick and easy as possible.

Training, education and staff & patient support and welfare

The fourth area of grant making is in the area of training, education and staff & patient support and welfare though it is important to note is that the Charity does not fund statutory training which is training that the NHS should provide to all staff.

The Charity funds courses and conference fees for staff to attend events that will bring knowledge back into the hospitals for the benefit of patients.

The Charity has also funded a range of patient information films on subjects as wide ranging as cardiac rehabilitation exercise, breast reconstruction information and paediatric radiotherapy.

In addition, the Charity funds a number of patient welfare initiatives, from pizza night on the Teenage Cancer Trust Young Persons Unit, to memory lane cafes and activities for elderly patients.

Over the past year, the Charity has provided additional support to staff during the COVID-19 pandemic, including mindfulness and psychological support, breakout spaces for staff to spend some time away from the wards, and the provision of hot drinks and food during and after the long shifts looking after COVID-19 patients.

This was possible thanks to the generous support of donors and fundraisers who raised money specifically to support staff across our hospital sites.

In 2021/22, the Charity spent £791,000 in this category. [2020/21 £1,232,000].

Case study one – Cancer Cloud Kits

It can be incredibly distressing and confusing for a child to be told their mum or dad has cancer. To try and help families through this difficult time, the Charity provides Cancer Cloud Kits for those families struggling to adjust to this life changing news. Cancer Cloud Kits are toolkits created for children, or young people, who are affected by their parents being diagnosed with cancer. They aim to help them to understand what cancer is, the treatment given, and the side-effects cancer may cause. There are three kits designed for three age ranges with varying tools to cater to the child's capacity to understand.

Mark Chambers, Unit Manager at Good Hope Hospital, explained how helpful these kits have been: "Thank you to the Charity for funding these incredibly valuable resources. Many of the people we treat have young children and it can difficult for a parent, or even a medical professional to truly explain what it is like to have a parent with cancer and these kits help facilitate these difficult, but important conversations".

We know that if patients can be reassured that their family is being looked after, they will experience better clinical outcomes as they can focus on their treatment. Therefore not only do these kits benefit their children's wellbeing, but they can contribute to a successful cancer journey overall.

Case study two: Simulation suite at QEHB

The Charity has a long record of assisting the Trust with simulation training. This year we awarded a grant of £300,000 to build a Simulation Suite at QEHB. The suite provides a space for staff to receive training, in simulated situations.

High fidelity simulation training is fundamentally about improving patient safety through teaching teams to work effectively under pressure to deliver the best patient outcomes.

By funding the Simulation Suite we have increased the amount of training that can be carried out on simulators rather than patients. This has many advantages, including being able to replicate rare and/or complex scenarios, trying out new technologies or techniques and teaching teams as well as individuals.

This suite will help UHBFT to become a first class healthcare education and training centre within the West Midlands, providing a wide range of facilities in which to deliver local and national training to ensure staff deliver excellence in practice.

One of our main priorities as a charity is to improve patient outcomes, this Simulation Suite will help to ensure our staff are best placed to deliver excellent care and help their patients lead the healthiest lives possible.

How we are funded

The Charity is delighted to receive donations to support its work from generous individuals, companies, community groups, staff, patients and families. These donations help the Charity to continue making grants, supplementing money donated in previous years.

We would like to say a huge thank you to everyone who has fundraised, donated, volunteered, or taken part in one of our many events over the past year. It is only with your help and support that we are able to do so many amazing things to support patients, families and staff across the Trust.

Fundraising

The Charity organises events and supports many more fundraisers organising their own events throughout the year.

Fundraisers can download the Charity’s fundraising toolkit from our website www.hospitalcharity.org which is packed with hints and tips for your fundraising, as well as the charity’s registration form. Sponsorship and Gift Aid forms are also available from the website.

You can follow the Charity on social media:

Twitter @UHBCharity

Facebook @UHBCharity

Instagram @uhbcharity

TikTok @uhbcharity_

Linkedin @UniversityHospitalsBirminghamCharity

People taking part in sponsored events can also raise funds through our dedicated charity pages on the online giving site JustGiving:

University Hospitals Birmingham Charity
www.justgiving.com/uhbcharity

Queen Elizabeth Hospital Birmingham Charity
www.justgiving.com/qehbcharity

Heartlands Hospital Charity
www.justgiving.com/heartlandshospital

Good Hope Hospital Charity
www.justgiving.com/goodhopehospital

Solihull Hospital Charity
www.justgiving.com/solihullhospital

Fisher House
www.justgiving.com/fisherhouse

The Fundraising team would be delighted to speak to anyone who is considering taking part in an event, or organising their own fundraising, for any of our hospital charity funds and can be contacted by email at charities@uhb.nhs.uk or by telephone on 0121 371 4852.

As well as the many individuals to whom the Charity is very grateful for their support, we would also like to mention some of the companies and organisations that have supported University Hospitals Birmingham Charity over the past twelve months. We have so many generous supporters we cannot mention them all by name, but they all make a real difference to the care we can give our patients. Our case studies show just some of the ways people have supported the Charity.

Donor	Area of support
National Grid	COVID/NHS Superheroes Appeal
Aston Villa FC	COVID/NHS Superheroes Appeal
Birmingham City FC	COVID/NHS Superheroes Appeal
Warwickshire County Cricket Club	COVID/NHS Superheroes Appeal
Paragon Bank PLC	COVID/NHS Superheroes Appeal
Warwickshire & Worcestershire Freemasons	COVID/NHS Superheroes Appeal
EH Smith Charitable Trust	COVID/NHS Superheroes Appeal
Eveson Charitable Trust	COVID/NHS Superheroes Appeal
Royal Sutton Coldfield Town Council	COVID/NHS Superheroes Appeal
London Metric Property PLC	COVID/NHS Superheroes Appeal
Winners Chapel International Birmingham	COVID/NHS Superheroes Appeal
Guru Teg Bahadur Gurdwara	COVID/NHS Superheroes Appeal
Sri Dasmesh Sikh Temple	COVID/NHS Superheroes Appeal
Vinci Construction UK	COVID/NHS Superheroes Appeal
Lockdown Legends Colouring Book Team	COVID/NHS Superheroes Appeal
Millie’s Watch	COVID/NHS Superheroes Appeal
Jaguar Land Rover	COVID/NHS Superheroes Appeal
Western Power	COVID/NHS Superheroes Appeal
IMI PLC	COVID/NHS Superheroes Appeal
Sutton Coldfield Charitable Trust	COVID/NHS Superheroes Appeal
Birmingham Assay Office	COVID/NHS Superheroes Appeal
Shree Birmingham Pragmati Mandal	COVID/NHS Superheroes Appeal
Veseyians RFC	COVID/NHS Superheroes Appeal
Balsall Common Lions	COVID/NHS Superheroes Appeal
Comic Relief	COVID/NHS Superheroes Appeal

Donor	Area of support
Gordon Giltrap	QEHB Charity
Joseph & Lena Randall Charitable Trust	QEHB Charity
British Airways	UHB Charity
Clarissa Greenfield	UHB Charity
Friends of Good Hope Hospital	Good Hope Hospital
Friends of Solihull Hospital	Solihull Hospital
Friends of Queen Elizabeth Medical Centre	Queen Elizabeth Hospital Birmingham
Bullring & Grand Central	Queen Elizabeth Hospital Birmingham
Birmingham District Nursing Charitable Trust	UHB Cancer Appeal [Cancer Cloud kits]
Upper GI Support Group	QEHB Upper GI Fund
Eveson Charitable Trust	Stroke Unit
Millie's Watch	Homes Away from Home
B&Q Foundation	Homes Away from Home
Screwfix Foundation	Homes Away from Home
Sue McAllister	Patient & Family Support Centre
Help Harry Help Others	Solihull Haematology & Oncology Centre
BBC Children in Need	Teenage Cancer Trust Young Person's Unit
Steve Bull Foundation	QEHB Haematology & Oncology Centre
Birmingham Airport Community Trust Fund	Good Hope Children's Assessment Unit
Help for Heroes	Fisher House
SSAFA	Fisher House
Armed Forces Covenant Fund Trust	Fisher House
TroopAid	Fisher House
Royal Marines Association	Fisher House
MacRobert Trust	Fisher House
ABF The Soldiers Charity	Fisher House
Royal Navy & Royal Marines Charity & Greenwich Hospital	Fisher House
Royal Air Force Benevolent Fund	Fisher House
The Lt Dougie Dalzell MC Memorial Trust	Fisher House
Veterans' Foundation	Fisher House
BAE Systems Ltd	Fisher House
Stan Bowley Trust	Cyberknife
Ladies Fighting Breast Cancer	QE Breast Cancer Appeal
Stine & Ashley Giles	The Giles' Trust
Maggie Bate	The Giles' Trust
Cassidy Group	The Giles' Trust

Donor	Area of support
Brian Travers & friends	The Giles' Trust
Tony Fox BEM, family & friends	The Ann Fox Foundation
Karen Morris Memorial Trust	Karen's Home from Home

Case study one – Team Colin raise £120,000 for the Giles Trust!

Brain tumours are still the biggest killer of children and adults under the age of 40.

11,000 people are diagnosed with primary brain tumours each year in the UK.

Fewer than 20% of those diagnosed will live longer than five years. Sadly this was the case for Colin Broomfield.

Friends and family of Colin Broomfield raised an astounding £120,000 for The Giles' Trust between 2021 and 2022. Colin sadly lost his battle with cancer in February 2020, aged 52 – almost two years after being diagnosed with a terminal glioblastoma brain tumour.

The Giles' Trust Brain Tumour Fund was founded in 2015 by former England cricketer and now England's Director of Cricket, Ashley Giles MBE, and his wife Stine following her own multiple battles with cancer. It aims to support those diagnosed with brain tumours and fund equipment and cutting edge research so they have the best possible chance against this dreadful disease.

Colin's friends and family named themselves 'Team Colin' and undertook various different fundraising activities from bike rides to collection goblets to gaining support from local businesses.

A close friend of Colin's for more than 40 years, Ivor Allchurch, said: "Myself and another close friend of Colin's, Jim Weston, got talking after the funeral about doing some fundraising.

"Colin's wife Fiona said she would like it to benefit The Giles' Trust because they knew Ashley and Stine Giles from Bromsgrove School and Stine had been helpful in supporting and giving her advice.

"It was a good way to remember Colin, it has given us all some great memories and raised some funds towards the great work that The Giles' Trust does. Colin was one of the good guys, great fun and a true friend."

Other support included £10,000 from a fundraising dinner hosted by The Fine Fourteen – a group of Worcestershire businessmen with digital support from Steve Thomas – another friend of Colin's.

Ivor added: "Colin was a charismatic, kind and loving man and this fundraising is our way of celebrating his life. Despite Colin having received fantastic treatment and fighting extremely hard, there's still a long way to go in advancing the treatment of this disease and raising awareness."

The Charity is so grateful to Colin's family and friends for helping us to fund vital research.

Dr Sara Meade, Oncology Consultant at QEHB where Colin was treated, said: "The impact from The Giles' Trust has been incredible. The contribution from the Charity has significantly impacted on the delivery of the highest standard of care in this patient group. The benefits of The Giles' Trust have been felt deeply by patients and staff."

Case study two – Olivia is on the run!

Between October 2021 and March 2022, nine year old Olivia ran regularly to reach her target of 158 miles to raise money for the Charity. She raised an incredible £2,000 for the new Birmingham Transplant Centre to say thank you after her grandad received a successful heart transplant at QEHB in 2020. When asked why she set her goal of 158 miles, Olivia said: "I live in Sussex which is 158 miles away from Birmingham, we would travel lots to go visit him while he was poorly in hospital. The staff at the hospital took such good care of my grandad, looking after him when we couldn't be there with him and they got him the heart he needed. I wanted to say thank you to everyone at the hospital for giving me my grandad back which is why I started running to try and raise as much money for the Centre as possible."

Natasha Burton, Fundraising Officer, said: "It was amazing to see Olivia complete her epic challenge! Thanks to fundraisers like Olivia we will be able to make Birmingham Transplant Centre a reality. It will put patient care at the forefront of transplantation and bring innovative and ground-breaking medicine to the West Midlands. The new Centre will bring all the specialist care to patients, including pre-transplant consultations, additional fitness classes before surgery, patient support groups, and rehabilitation classes after surgery

"The Charity wants to bring all of the specialist care available to the patient, taking away a huge amount of stress and therefore speeding up recovery time. UHBft will be able to offer even more transplants and therefore save even more lives.

"The new Birmingham Transplant Centre will revolutionise the way we treat transplant patients like Olivia's grandad in the future."

Case study three: A heartfelt donation to the Special Care Baby Unit

The Special Care Baby Unit at Good Hope Hospital looks after very poorly babies who need some extra care after they are born.

The Special Care Baby Unit received an incredible £4,500 donation from Stephen and Leanne, proud parents of Cooper who fell ill shortly after being born.

As a way to mark Cooper's second birthday they decided to show their appreciation and raised money to honour and celebrate the Unit that saved their little boy's life.

Cooper arrived into the world at 5lb 10oz in December 2019. Cooper's dad Stephen told us:

"He seemed perfect, tiny but perfect, when he arrived into this world. However, he didn't seem to cry at all and then when I held him for the first time, although it was the best feeling in the world, he felt cold and soon became unresponsive. The doctors and midwives came rushing in and next thing we knew

we were being rushed to the Special Care Baby Unit at Good Hope Hospital.

"The fear we felt when all the doctors and midwives came rushing in is something we will never forget, it literally dropped me to my knees. I felt broken as I just didn't understand what was happening to our little boy.

"When we arrived at Good Hope Hospital we were greeted by a team of nurses and doctors who not only stabilised Cooper but also cared for us as a family. We found out that the reason he became so poorly was due to him becoming hypothermic, hypoglycaemic and jaundiced.

"The staff on the unit are unbelievably caring, kind and selfless individuals who look after those babies like their own and this is something that we will never be able to thank them enough for."

To thank staff for the wonderful treatment Cooper and the family received, they raised this incredible amount by the generosity of their friends and family, as well as corporate supporters. Overall there were 161 people who donated to this fantastic cause.

Their generous donation will help make such a huge difference to the unit and help support countless other poorly babies and their families during such a difficult time.

Case study four: Long standing support from renowned artist Gordon Giltrap

Legendary musician, Gordon Giltrap MBE, has raised an incredible total of £4,500 for UHB Charity after generously donating sales from his album Heartsongs. Gordon underwent two bouts of major surgery in 2016 and ever since has been giving back to the hospital that treated him.

In 2018 his charity album was first released and copies flew off the shelves so quickly it sold out, raising £2,500 almost instantly! In the past three years profits have continued to come to UHB Charity bringing Gordon's donation to an amazing £4,500. The money raised has allowed UHB Charity to provide equipment, resources and 'added extras' above and beyond what the NHS alone can provide, which has made a real and lasting difference to Birmingham's communities.

Cathryn Worth, Fundraising Manager at the Charity, said: "On behalf of UHB Charity I wish to say our heartfelt thanks to Gordon for his ongoing support and dedication to QEHB. It has been a pleasure to work with Gordon over the years and I hope our partnership can continue for many more."

Gordon said: "Since my personal 'journey' with the Queen Elizabeth Hospital starting in 2015, all I can say is it has been a privilege to have met some of the most remarkable people one could wish to meet. I'm proud to have been in my own small way a part of the fundraising team, and I look forward to more opportunities to offer my services as a musician to this wonderful and worthwhile charity."

Legacies

Gifts in wills are a much valued and major source of funding for the Charity.

Legacies are used, in accordance with donors' wishes, to support research; to fund capital projects; to allow continued investment in equipment; and to support UHBFT's patients, families and staff.

The Charity is very grateful to those donors who have considered us in their will, and we would encourage anyone considering leaving a gift in their will to contact the Chief Executive to discuss their plans, how they would like to support the work of UHBFT and how the Charity can recognise their vital support.

The Charity has launched a free will writing service through Bequeathed, an online will writing service.

Find out more about this new service by visiting www.hospitalcharity.org/bequeathed

We are delighted to report that in the year to 31 March 2022 the Charity received generous legacies to the value of £1,203,000. (2020/21: £1,327,000). We would like to acknowledge the generous sums received from bequests made by the following people:

Donor	Donor
Jennifer May Amatiello	Therese Louise Armstrong
Roy David Aucott	John Gresham Barron
Philip Richard Bentley	Edna Birbeck
Brenda Dorothy Blake	Valerie Lesley Bonehill
Sarah Bookey	Lynda Anne Bridgman
Ronald James Broome	Leonard Samuel Chorley
Francis Harold Coates	Eileen Cook
Clarissa Louise Dawson	John Alan Dun
Josephine Rose Edwards	Beryl Eileen George
Sarah Elizabeth Hadley	Jean Marion Hawker
Madge Hughes	Robert Hutson
Davinderpal Kaur Jandu	Doreen Jones
Jacqueline Kane	Aja Lea
Robert Edwin Leighton	Peter Lowe
Margaret Amy Loxley	Arthur Peter Lynch
Iris June Marchant	Mary Jane Marnell
Margaret Rose Mason	Joan Margaretta Mary Meredith
Joyce Audrey Mitchell	Roger Phipps
Valerie Powell	Lilian Florence Preece
Roy Purcel	Iris Joan Ridley
Joyce Rogers	Alma Ethel Sanders
Trevor Sherrington	Edwin William Sims
Beryl Gladys Spare	Charles Greenland Taylor
Beryl Wilcox	Raymond Brian Woodcock

Legacy donations can help to make a huge difference to our patients, their families and our staff and we are very grateful to everyone who has left a gift in their will.

Governance

More about who we are and how we work

In addition to the Charity’s registered name, University Hospitals Birmingham Charity, there are four working names to reflect the four hospitals it operates from: Queen Elizabeth Hospital Birmingham Charity, Heartlands Hospital Charity, Good Hope Hospital Charity and Solihull Hospital Charity.

The Charity made the decision to take advantage of the guidance published by the Department of Health on “How NHS charities can convert to independent status” and converted to a new charitable company limited by guarantee on 23 February 2016.

Previously, the Charity operated under the name of University Hospital Birmingham Charities, charity registration number 1093989 as an unincorporated trust.

Our history

The teaching hospitals of Birmingham, originally amalgamated as the United Birmingham Hospitals, had charitable funds managed by the Special Trustees for the Former United Birmingham Hospitals Trust Funds. When the hospitals were split into separate trusts, the decision was made to split the charitable funds in the same way. The Special Trustees were dissolved by Statutory Instrument on 1 April 2001. An apportionment of their funds, together with corporate funds, were transferred to University Hospital Birmingham Charities.

On 23 February 2016, the Charity became a charitable company limited by guarantee, fully independent from the Department of Health who are no longer responsible for the appointment of Trustees.

On 1 April 2017, the Heart of England NHS Foundation Trust Charitable Fund merged with University Hospitals Birmingham Charity and the Charity took on responsibility for charitable activities across Heartlands, Good Hope and Solihull hospitals in addition to QEHB. This happened alongside the merger of Heart of England NHS Foundation Trust with University Hospitals Birmingham NHS Foundation Trust.

How do we do things?

The Charity can have up to fifteen unpaid Trustees who make up the Board of Trustees. They decide policy and make sure it is implemented. UHBFT has the right to nominate up to a third of the Trustees.

Day to day management is delegated to the Charity Chief Executive who is responsible for carrying out the decisions of the Trustees and working with professional external advisors and the representatives of UHBFT who provide services to the Charity.

The Chair of the Trustees, who is elected by the Trustees, chairs meetings and takes an active role working with the Charity Chief Executive in the daily management of the Charity. In the financial year to 31 March 2022 the Chair of Trustees was Dave Mackay.

Detailed in the table below are all the Trustees who held office during the financial year to 31 March 2022.

Trustees who were in office during the year to 31 March 2022	Date of first appointment	Date when appointment expires (or expired if in year)
Peter Mayer*	23 February 2016	22 August 2021
Andrew Pemberton*	23 February 2016	22 February 2022
David Ritchie*	23 February 2016	22 February 2024
Michael Seabrook*	23 February 2016	22 February 2025
David Mackay*	23 February 2016	22 February 2023
Ru Watkins*	23 February 2016	22 February 2023
Clara Day	22 September 2021	21 September 2025
Joanne Eales	21 August 2019	20 August 2023
Stan Baldwin	21 August 2019	20 August 2023

*Trustees marked with an asterisk previously served as Trustees under the old charity registration number and were appointed as Trustees under the new charity registration number.

Details of Trustees

David Mackay worked for West Bromwich Building Society for 33 years, retiring in 2008 as Managing Director of West Bromwich Mortgage Company. He is a Fellow of the Institute of Sales & Marketing Management. David has served as a Board Member of the Black Country Chamber of Commerce & Industry, and as Chair of Governors at Howley Grange Primary School.

David Ritchie worked at a senior level in Government for a number of years, latterly as Regional Director, Government Office for the West Midlands. He was also Chair of the Oldham Independent Review into the causes of the Oldham Race Riots in 2001 and has served as a non-executive director for UHBFT. He is currently Chair of Trustees for The Robin Centre

Michael Seabrook was a practising solicitor after he was admitted in 1976 and a partner at Eversheds from 1986 until he retired in 2011. He was a corporate lawyer for over thirty years, advising venture capitalists and management teams. He is also a Director of Solihull Moors Football Club CIC and a Trustee of Solihull Moors Foundation.

Ru Watkins was Chief of Staff for the Royal Centre for Defence Medicine from 2010 to 2012. Prior to that role, Ru had commanded infantry soldiers in Bosnia and Kosovo and then a number of Communications roles within the Ministry of Defence. He is a Fellow of the Royal Society of Arts and of

the Chartered Institute of Management. He led the first UK expeditions to conquer the Miette Mountains in Canada and navigate the Monkey River in Belize.

Clara Day is a Consultant Nephrologist. Clara qualified from the University of Oxford in 1995. She trained as a junior in general medicine at the Queen Elizabeth and Selly Oak hospitals before training in renal medicine. Clara has clinical interests in inflammatory renal diseases, pregnancy in renal disease and haemodialysis.

Joanne Eales is a qualified Chartered Accountant specialising in accountancy services to SME businesses. She has served as a parish councillor on Lickey & Blackwell Parish Council and has been Treasurer for the parents' associations at Bromsgrove Preparatory School and Bromsgrove School.

Stan Baldwin is a Governor at UHBFT representing Solihull & Meriden. He has extensive public sector experience including nine years' service as a Non-Executive Director of the Birmingham and Solihull Mental Health Foundation Trust. His previous posts have included Principal of Community Education with the City of Birmingham and Chief Executive of Wyre Forest District Council. Stan is currently Vice-Chair of Governors at Solihull College and University Centre.

Dr Peter Mayer (term of office finished on 22 August 2021) was a former consultant in Geriatric and Stroke Medicine at UHBFT and South Birmingham Primary Care Trust from 1977 until 2007 and was also an Honorary Senior Clinical Lecturer in the Department of Geriatrics at the University of Birmingham during the same period. Peter is a Trustee of Birmingham & District Nursing Charitable Trust and Honorary President of the Institute of Ageing and Health.

Andrew Pemberton (term of office finished on 22 February 2022) is a qualified Chartered Accountant. He had a 27-year career with Peugeot Motor Company retiring in 2007 as Director-Service for Peugeot UK. Andrew has been a member of Balsall Common Lions club for 40 years and currently volunteers with the Lions organisation nationally.

Trustee recruitment, appointment and induction

Trustee recruitment is carried out by the Charity.

Trustees are recruited following public advertisement, or are nominated by UHBFT, who have the right to nominate up to a third of the Trustees. As at 31 March 2022, UHBFT has nominated two Trustees (Stan Baldwin and Clara Day).

All potential Trustees must be interviewed and recommended by a panel consisting of at least two Trustees of the Charity and the Charity Chief Executive. Candidates must show knowledge of, and an interest in, UHBFT and the community it serves and be willing to give the time necessary.

Trustees are also selected to give the Charity a good mix of appropriate professional skills, such as finance, investment and law. All Trustees are appointed for a fixed term of up to four years, which is renewable.

Performance measures adopted by the Board include amongst other things a measure of the attendance level of Trustees. All measures are monitored regularly by the Board of Trustees.

New Trustees are provided with an induction pack comprising governing documents, and policies and procedures, and if they have not had previous dealings with UHBFT, a tour of the hospital.

Training courses are offered to the Trustees, in charity law and administration and the roles and responsibilities of Trustees, as part of their ongoing professional development.

Our auditors and solicitors provide much useful material and the Association of NHS Charities (now known as NHS Charities Together and of which we are a member) runs regular conferences for Trustees.

Charity Sub-Committees

The Trustees have the power to establish committees to provide scrutiny of aspects of the Charity and to make recommendations to the Board of Trustees.

As at 31 March 2022 there are two formal sub-committees – the Performance & Finance Committee which met four times during the year 2021/22 and the Nominations Committee which met twice during the year 2021/22.

The Charity's Staff

The Charity directly employs 22 members of staff as at 31 March 2022, equivalent to 19.5 full time employees across the four hospital sites.

The Charity Chief Executive is responsible for delivering the strategic objectives set by the Trustees and has delegated day to day responsibility for running the Charity.

Finance administration services are provided by UHBFT under a service level agreement and individually named members of staff are responsible for providing those services, but the staff are employed by UHBFT.

External and internal auditors, legal advisors, investment managers and representatives of the NHS, amongst others, complement the staff in support of the Board of Trustees.

Remuneration policy

The Trustees consider the following when setting remuneration levels for the Charity Chief Executive and any other senior executives.

1. The goal of the Charity's remuneration policy is to offer fair pay to attract and keep appropriately qualified staff to lead, manage, support and deliver the Charity's aims.
2. Trustees are ultimately responsible for setting remuneration levels for the Charity's most senior staff, which in the case of the Charity currently applies only to the Charity Chief Executive.
3. To set appropriate pay and rewards requires making informed judgments and following the Charity's governance and constitutional arrangements.

4. In deciding top levels of pay and rewards, Trustees will consider the purposes, aims and values of the Charity, and its beneficiaries' needs.
5. Trustees will consider how increasing pay, particularly at senior levels, would be perceived by employees, donors and beneficiaries.
6. Trustees will make an assessment of the Charity's and senior staff's performance against expectations, both short and long term.
7. Trustees will seek information on pay policies and practices in other organisations that could help guide a decision on whether a level of pay is fair.

Having considered the above points, Trustees awarded the Charity Chief Executive a salary increase of 5.88% in the year under review.

Volunteers

Many hundreds of volunteers, including Fund Advisors, contribute to the work of the Charity and we are very grateful to them all.

Members of the public as well as Fund Advisors organise fundraising events for us during the year and some members of the public also volunteer within UHBFT on projects that are funded from the Charity's designated funds.

It is not possible, due to the nature of such volunteering, for the Charity to quantify in terms of hours the contribution of all its volunteers.

The Charity thanks all its volunteers for their contribution to its ongoing work.

Grant making structure and policy

The charitable funds that the Charity manage, and from which grants are made, are held as endowment funds (expendable or permanent), restricted funds and unrestricted funds (designated or wholly unrestricted).

It is the Trustees' policy to ensure that all grants made from these funds are used in accordance with the purposes of the individual fund and the Charity's purposes and aims.

Individual funds' purposes include research, equipment and patient and staff welfare.

Day to day operational policy guidelines, which deal with such matters as expenditure on travel when on charitable business, are issued to Fund Advisors and regularly reviewed.

The definitions of endowment, restricted and unrestricted funds are detailed below:

- a. Endowment funds – the donor has expressly provided that the investment income of the fund may be applied for a specific charitable purpose.
Endowment funds are further split between expendable endowments (where the Trustees have the discretion to spend the capital) and permanent endowments (where Trustees have no discretion to spend the capital).

The Charity has no endowment funds.

- b. Restricted funds – the donor has provided for the entire donation to be used for a specified purpose or has been given assurance that the donation is destined for a particular purpose.
- c. Unrestricted funds – funds which are neither endowment nor restricted.
These are held either as designated (earmarked) funds (where the donor has made known their non-binding wishes) and wholly unrestricted funds which are for use at the Trustees' discretion. Unrestricted funds are held under the umbrella of the General Fund; there are currently just over 500 such funds.

All of the different types of funds have Fund Advisors who facilitate local decision-making and offer expertise in the particular area of the fund.

The Fund Advisors have delegated powers and responsibility to authorise expenditure in line with the purpose of the fund and to manage projects and activities on a day to day basis within certain limits.

For expenditure in excess of these limits they make recommendations to the Charity Chief Executive and Trustees.

All the Charity's restricted and unrestricted funds can be spent at any time. Grants from these funds are applied for by Fund Advisors.

Charitable expenditure

Details of our major expenditure in the areas of equipment, medical research, facilities and training, education and patient support, are given elsewhere in this report (see pages 10-15).

During the financial year under review, the Charity made grants totalling £4,164,000. (2020/21: £3,425,000)

It should be noted that the construction costs of Fisher House were capitalised as an asset rather than as expenditure. The costs are spread over a ten year period. This will show in charitable expenditure over the coming years.

Charity's advisors and agents

Representatives of senior management of UHBFT attend Board Meetings and receive copies of Board papers.

There is also regular contact with senior UHBFT management, including the Chief Executive of UHBFT, both formally and on an informal basis.

Fund Advisors to the Charity are clinicians, ward sisters and other staff of UHBFT who are in regular contact with patients.

The Finance Department of UHBFT provides financial and administrative support to the charity under a Service Level Agreement.

Details of our other advisors can be found below.

Other advisors

- ▶ RSM: St Philips Point, Temple Row, Birmingham B2 5AF (internal auditors)
- ▶ Mazars LLP, 2 Chamberlain Square, Birmingham B3 3AX (external auditors)
- ▶ Barclays Bank: Colmore Row, Birmingham B3 2BY (bankers)
- ▶ Schroder & Co Limited: 12 Moorgate, London EC2R 6DA (investment managers)
- ▶ Shakespeare Martineau Solicitors: 1 Colmore Square, Birmingham B4 6AA (lawyers)

As a member, we have the opportunity to discuss matters of common concern and exchange information and experiences, join together with others to lobby government departments and others, and to participate in conferences and seminars which offer support and education for our staff and Trustees.

NHS Charities Together led on the national COVID-19 fundraising campaign which raised over £150 million, including the over £38 million fundraising of Captain Sir Tom Moore.

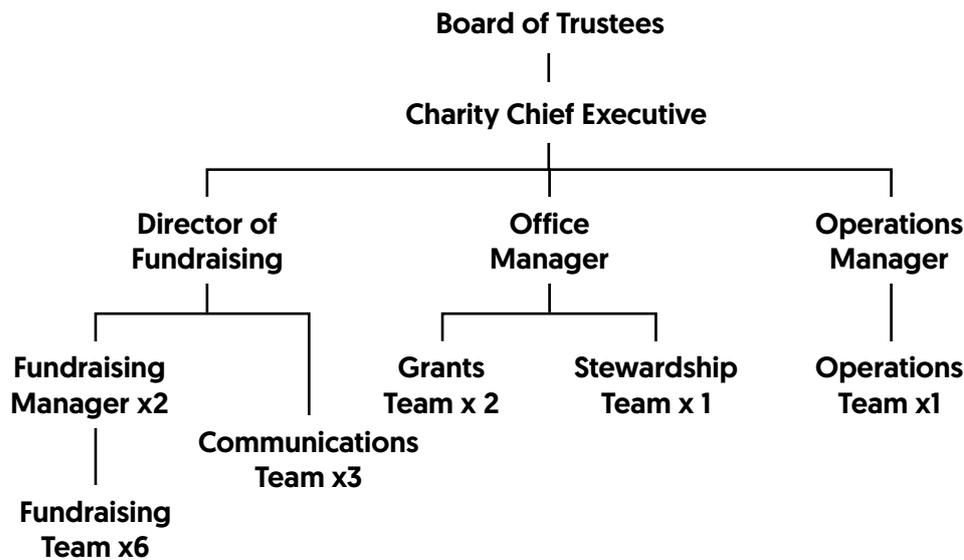
We are very grateful to NHS Charities Together for the ability to participate in their grant making programmes that have distributed the fundraising, with the Charity receiving over £1 million in grants from the COVID-19 fundraising campaign.

Wider networks and related parties

The Charity is one of some 250 NHS-linked charities in England and Wales. A number of the larger NHS-linked charities have, for a number of years, joined together to form NHS Charities Together (formerly known as the Association of NHS Charities) which now has every NHS charity as a member.

The Charity works closely with, and provides the majority of its grants to, UHBFT and the University of Birmingham, UHBFT's main research partner. Although the Trustees are careful to consult with representatives of these organisations through their board meetings and other, less formal contacts, they retain their independence to act in the best interests of the Charity and the communities they serve.

Administrative Structure as at 31 March 2022



External support structure as at 31 March 2022



Risks policy

A Risk Register, identifying the major risks to which the Charity is exposed, and an action plan of controls to mitigate those risks is in place and is tabled at each Trustee Board meeting.

The Risk Register and controls are reviewed by our internal and external auditors.

A Fraud and Corruption policy was updated and adopted by the Board of Trustees in August 2007.

A written policy on the acceptance and refusal of donations was updated and adopted by the Board of Trustees in March 2011.

Internal auditors, RSM have carried out a review of the Risk Register and controls in the year under review.

The Trustees have identified the following principal risks to the Charity, with the details of how they are managing these risks:

Risk Mitigation

Risk	Mitigation
The Charity not having a significant profile within the hospitals themselves	Advertising sites within the hospital, permanent presence within the hospital, direct meetings with doctors, nurses and management
A lack of charitable projects for which to fundraise	Working directly with doctors, nurses and management to identify ways the hospital charity can help to benefit patients. Planning of fundraising appeals well in advance
Managing the funds and assets of the Charity effectively	Charity Chief Executive formally reports at each Trustee meeting. UHBFT Chief Financial Officer presents quarterly finance reports to Trustees. Investment Managers present to Trustees on an annual basis

Investment policy

The Charity's long term investments are managed by Schroders & Co Limited. The investments are held in the Cazenove Charity Responsible Multi Asset Fund.

The Board of Trustees monitors investment performance and receive regular reports from the investment manager.

The move to the Cazenove Charity Responsible Multi Asset Fund was to reduce volatility and generate a sustainable rate of income from the investments, and the Trustees note the portfolio is performing to those requirements over the long term.

The investment policy and investment performance is reviewed at each quarterly Trustee Board meeting.

The Trustees have adopted the following investment policy:

- i. The Charity's aims in investing its funds
"The Trustees recognise that donors to the Charity expect their donations to be spent on charitable activities relating to the work of UHBFT in a timely manner. The Trustees therefore wish to invest those funds to generate a financial return on capital, whilst minimising the risk to capital. The income thus generated will be used to further the aims and objectives of the Charity."
- ii. The balance between capital growth and income generation
"The Trustees main concern is preservation of capital. However, whilst taking that into consideration, the need for capital growth is not a main priority. Thus, within the constraints of capital preservation, the Trustees prefer income generation over capital growth."
- iii. Consideration of risk
"The Trustees desire a low to medium risk investment portfolio. Charitable funds should be spent in a timely manner, thus investments that require a long period of investment are not to be recommended."
- iv. The timing of returns
"The Trustees need to draw a steady cash stream from their investments during the year. The investment policy should enable the funding of the cash requirements provided by the Trustees."
- v. Special preferences – e.g. ethical investments
"The Trustees wish to invest solely their cash holdings in organisations protected by the UK regulatory authorities. In addition, the Trustees wish to screen their investments in such a way that investments avoid harm through environmental, social and governance integration and screening, investments benefit stakeholders through responsible activities, and investments contribute to solutions through influence and investing for impact. Investment portfolios should exclude sectors such as tobacco, alcohol, pornography, gambling and those that have a high impact on climate change. Any investment manager should provide the Trustees with their Socially Responsible Investment policy prior to investing in equities to enable the Trustees to give approval of such policy."
- vi. Review of the policy statement
"This investment policy statement will be reviewed by the Trustees on an annual basis."
- vii. The way in which the investment discretion will be exercised
"The Trustees delegate the day to day management of the investments to the investment manager subject to the policy above. The investment manager should be able to demonstrate how the investments made on behalf of the Charity meet the policy requirements and should present to the Trustees on an annual basis their plans for the year ahead."
- viii. Reserves policy
"Once the Trustees have formally agreed any charitable grant, the funds covering that grant will be moved into a cash account. In addition to those funds necessary to cover any agreed grants, the charity wishes to hold in a

cash reserve a sum equal to six months expenditure of its general funds to allow the charity to meet its obligations in the event of a failure to raise any new charitable funds. There is no need to set aside any reserves against the earmarked funds as if no income was received, no expenditure would be made.”

Reserves policy

The reserves policy is reviewed annually and is now incorporated into the investment strategy to help our investment advisors manage our funds in the most appropriate way.

The reserves policy was established by the Board of Trustees as part of their plans to provide long term support to UHBFT for equipment, medical research, facilities and training, education & patient support.

The Trustees calculate the reserves as that part of the Charity's unrestricted income funds that are freely available after taking account of designated funds which have been earmarked for specific purposes, unrealised gains or losses and certain funds, which on the basis of prudence do not form part of the reserves.

The total funds of the Charity at the year ending 31 March 2022 were £17,252,000 . The total level of unrestricted reserves is analysed as follows:

	£
Total funds available at year end 31 March 2022	17,252,000
Less:	
Restricted funds	363,000
Designated funds (including Fisher House and Homes away from Home)	16,359,328
Total free reserves	529,672

Trustees calculated the level of required or target free reserves after reviewing the Charity's annual income and expenditure level and taking into account medium term commitments.

The target reserves ensure stability of grant funding to UHBFT. The target reserves are calculated as follows:

	£
Provision for six month's general fund expenditure requirements*	500,000
Total target reserve requirement:	500,000

*The figure for six month's general fund expenditure requirements are those costs the Charity would incur, including redundancy costs, if fundraising income ceased and Trustees had to wind up the Charity's activities.

The available reserves are above the target range and the Trustees believe these are sufficient and at a prudent level.

The Trustees note that there is still a large balance of designated funds within the Charity and have set plans to continue to spend these balances on the areas appropriate to donors' wishes.

Financial review

The full 2021/22 Statement of Financial Activities and Balance Sheet and accompanying notes to the accounts are set out on pages 27 to 43.

Income during the year amounted to £3.661 million (2020/21: £5.849m) and expenditure in the year was £4.866 million. (2020/21: £4.054 million)

Statement of Trustees' responsibilities in respect of the Trustees' Annual Report and the Financial Statements

The charity trustees (who are also the directors of the University Hospitals Birmingham Charity for the purposes of company law) are responsible for preparing a trustees' annual report and financial statements in accordance with the applicable law and United Kingdom Accounting Standards.

Company Law requires the charity trustees to prepare financial statements for each year which give a true and fair view of the state of affairs of the charitable company and of the income and expenditure, of the charitable company for that period. In preparing these financial statements, the trustees are required to:

- select suitable accounting policies and apply them consistently;
- make judgements and estimates that are reasonable and prudent;
- state whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

The trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and to enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the charity and hence taking reasonable steps for the prevention and detection of fraud and other irregularities.

The Trustees are responsible for the maintenance and integrity of the corporate and financial information included on the charitable company's website. Legislation in the United Kingdom governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

Statement as a disclosure to our auditors

In so far as the Trustees are aware at the time of approving our trustees' annual report:

- there is no relevant information, being information needed by the auditor in connection with preparing their report, of which the group's auditor is unaware, and
- the trustees, having made enquiries of fellow directors and the company auditor that they ought to have individually made, have each taken all steps that they are obliged to take as a director in order to make themselves aware of any relevant audit information and to establish that the auditor is aware of that information.

Approved by Trustees on 24 August 2022

and signed on their behalf by:



David Mackay (Chairman)

Independent auditor's report to the members of University Hospitals Birmingham Charity

Opinion

We have audited the financial statements of University Hospital Birmingham Charity (the 'parent charity') and its subsidiary (the 'group') for the year ended 31 March 2022 which comprise of the Consolidated Statement of Financial Activities, the Charity Statement of Financial Activities, the Consolidated Balance Sheet, the Charity Balance Sheet, the Consolidated Statement of Cash Flows and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including FRS 102 "The Financial Reporting Standard applicable in the UK and Republic of Ireland" (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the group's and of the parent charity's affairs as at 31 March 2022 and of the group's and the parent charity's income and expenditure the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Companies Act 2006.

Basis of opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

In auditing the financial statements, we have concluded that the trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the group's or the parent charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the trustees with respect to going concern are described in the relevant sections of this report.

Other information

The other information comprises the information included in the annual report, other than the financial statements and our auditor's report thereon. The trustees are responsible for the other information. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the course of the audit, or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Opinions on other matters prescribed by the Companies Act 2006

In our opinion, based on the work undertaken in the course of the audit:

- the information given in the Trustees' Report which includes the Strategic Report and the Director's Report prepared for the purposes of company law, for the financial year for which the financial statements are prepared is consistent with the financial statements; and
- the Strategic Report and the Directors' Report included within the Trustees' Report has been prepared in accordance with the applicable legal requirements.

Matters on which we are required to report by exception

In light of the knowledge and understanding of the group and the parent charity and its environment obtained in the course of the audit, we have not identified material misstatements in the Strategic Report or the Director's Report included within the Trustees' Report.

Independent auditor's report to the members of University Hospital Birmingham Charity (cont')

We have nothing to report in respect of the following matters in relation to which the Companies Act 2006 requires us to report to you if, in our opinion:

- adequate and proper accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- the parent charity financial statements are not in agreement with the accounting records and returns; or
- certain disclosures of trustees' remuneration specific by law are not made; or
- we have not received all the information and explanations we require for our audit

Responsibilities of trustees

As explained more fully in the trustees' responsibilities statement set out on page 23, the trustees (who are also the directors of the charitable company for the purposes of company law) are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the group's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of the financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. Based on our understanding of the charity and its activities, we identified that the principal risks of non-compliance with laws and regulations related to the Charities Act 2011, the Charities Statement of Recommended Practice, UK tax legislation, pensions legislation, employment regulation and health and safety regulation, anti-bribery, corruption and fraud, money laundering, and we considered the extent to which non-compliance might have a material effect on the financial statements. We also considered those laws and regulations that have a direct impact on the preparation of the financial statements, such as the Companies Act 2006.

We evaluated the trustees' and management's incentives and opportunities for fraudulent manipulation of the financial statements (including the risk of override of controls) and determined that the principal risks were related to posting revenue recognition associated to cut-off, manual journal entries to manipulate financial performance, management bias through judgements and assumptions in significant accounting estimates, in particular in relation to use of restricted and endowment funds, and significant one-off or unusual transactions.

Our audit procedures were designed to respond to those identified risks, including non-compliance with laws and regulations (irregularities) and fraud that are material to the financial statements. Our audit procedures included but were not limited to:

- Discussing with the trustees and management their policies and procedures regarding compliance with laws and regulations;
- Communicating identified laws and regulations throughout our engagement team and remaining alert to any indications of non-compliance throughout our audit; and
- Considering the risk of acts by the charity which were contrary to applicable laws and regulations, including fraud.

Our audit procedures in relation to fraud included but were not limited to:

- Making enquiries of the trustees and management on whether they had knowledge of any actual, suspected or alleged fraud;
- Gaining an understanding of the internal controls established to mitigate risks related to fraud;
- Discussing amongst the engagement team the risks of fraud; and
- Addressing the risks of fraud through management override of controls by performing journal entry testing.

There are inherent limitations in the audit procedures described above and the primary responsibility for the prevention and detection of irregularities including fraud rests with management. As with any audit, there remained a risk of non-detection of irregularities, as these may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal controls.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Independent auditor's report to the members of University Hospital Birmingham Charity (cont')

Use of the audit report

This report is made solely to the charity's members as a body in accordance with Chapter 3 of Part 16 of the Companies Act 2006. Our audit work has been undertaken so that we might state to the charity's members those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's members as a body for our audit work, for this report, or for the opinions we have formed.



David Hoose
(Senior Statutory Auditor)
for and on behalf of Mazars LLP
Chartered Accountants and Statutory Auditor

First Floor
2 Chamberlain Square
Birmingham
B3 3AX

Date:

7/10/22

Consolidated Statement of Financial Activities (including consolidated Income and Expenditure account) for the year ended 31 March 2022

	Note ref.	Unrestricted funds £000	Restricted funds £000	Total funds £000	Prior Year 2021 £000
Income and endowments from:					
Donations, legacies and grants	3	2,610	0	2,610	4,158
Charitable activities	3.1	526	1	527	1,093
Other Trading Activities					
UHB Trading CIC	2.2	2	0	2	11
Investments	10.2	522	0	522	587
<i>Total</i>		3,660	1	3,661	5,849
Expenditure on:					
<i>Raising funds:</i>					
UHB Charity	6.1	699	1	700	619
UHB Trading CIC	2.2	2	0	2	10
<i>Charitable activities:</i>					
Medical Research	6	(368)	13	(355)	150
Purchase of Equipment		717	746	1,463	522
New Building and Refurbishment		1,564	0	1,564	609
Staff Education and Welfare		570	0	570	418
Patient Education and Welfare		220	0	220	814
Programme related Assets Running Costs		283	0	283	154
Depreciation Costs		420	0	420	409
Grants to other Bodies		(2)		(2)	349
<i>Total</i>		4,106	760	4,866	4,054
Income before gains and losses on investments		(446)	(759)	(1,205)	1,795
Other recognised gains and losses					
Unrealised gains on investments		575	0	575	1,817
Realised gains on investments		231	0	231	352
Transfers between funds	16	(14)	14	0	0
Net movement in funds for the year		346	(745)	(399)	3,964
Reconciliation of funds:					
Total funds brought forward		16,543	1,108	17,651	13,687
Total funds carried forward	17	16,889	363	17,252	17,651

The statement of financial activities includes all gains and losses recognised in the year. All income and expenditure derive from continuing activities.

The notes at pages 32 to 43 form part of these accounts.

Company Statement of Financial Activities for the year ended 31 March 2022

	Note ref.	Unrestricted funds £000	Restricted funds £000	Total funds £000	Prior Year 2021 £000
Income and endowments from:					
Donations, legacies and grants	3	2,610	0	2,610	4,158
Charitable activities	3.1	526	1	527	1,093
CIC Trading Income	2.2	0	0	0	17
Investments	10.2	522	0	522	587
<i>Total</i>		3,658	1	3,659	5,855
Expenditure on:					
<i>Raising funds:</i>	6.1	699	1	700	619
<i>Charitable activities:</i>	6				
Medical Research		(368)	13	(355)	150
Purchase of Equipment		717	746	1,463	522
New Building and Refurbishment		1,564	0	1,564	609
Staff Education and Welfare		570	0	570	418
Patient Education and Welfare		220	0	220	814
Programme related Assets Running Costs		283	0	283	154
Depreciation Costs		420	0	420	409
Grants to other Bodies		(2)	0	(2)	349
<i>Total</i>		4,104	760	4,864	4,044
Income before gains and losses on investments		(446)	(759)	(1,205)	1,811
Other recognised gains and losses					
Unrealised gains on investments		575	0	575	1,817
Realised gains on investments		231	0	231	352
Transfers between funds	16	(14)	14	0	0
Net movement in funds for the year		347	(745)	(399)	3,980
Reconciliation of funds:					
Total funds brought forward		16,543	1,108	17,651	13,671
Total funds carried forward		16,889	363	17,252	17,651

The statement of financial activities includes all gains and losses recognised in the year. All income and expenditure derive from continuing activities.

Approved and authorised for issue by the Board and signed on their behalf.

The notes at pages 32 to 43 form part of these accounts.

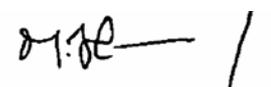
Consolidated Balance Sheet as at 31 March 2022

	Note ref.	Unrestricted funds £000	Restricted funds £000	Total funds £000	Prior Year 2021 £000
Fixed assets:					
Investments-Equities, Securities and Property	10	12,444	363	12,807	14,557
Tangible Fixed Assets	10.4	457	0	457	762
Program Related Asset	10.3	1,485	0	1,485	929
<i>Total fixed assets</i>		14,386	363	14,749	16,248
Current assets:					
Stock	11.3	16	0	16	15
Debtors	11.1	1,292	0	1,292	1,862
Cash at bank and in hand	11.2	5,967	0	5,967	4,000
<i>Total current assets</i>		7,275	0	7,275	5,877
Liabilities:					
Creditors: Amounts falling due within one year	12	1,652	0	1,652	1,960
Provisions for liabilities: Amounts falling due within one year	14	2,468	0	2,468	1,934
<i>Net current assets/liabilities</i>		3,155	0	3,155	1,983
<i>Total assets less current liabilities</i>		17,541	363	17,904	18,231
Provisions for liabilities: Amounts falling due after more than one year	14	652	0	652	580
<i>Total net assets or liabilities</i>		16,889	363	17,252	17,651
The funds of the group:					
Restricted income funds	17.1	0	363	363	1,108
Unrestricted funds	17.2	16,889	0	16,889	16,543
<i>Total funds</i>		16,889	363	17,252	17,651

Approved and authorised for issue by the Board and signed on their behalf.

Signed: 

Trustee Dave Mackay (Chair)



Trustee Ru Watkins

Date: 24 August 2022

Date: 24 August 2022

The notes at pages 32 to 43 form part of these accounts.

Balance Sheet as at 31 March 2022

	Note ref.	Unrestricted funds £000	Restricted funds £000	Total funds £000	Prior Year 2021 £000
Fixed assets:					
Investments-Equities, Securities and Property	10	12,444	363	12,807	14,557
Tangible Fixed Assets	10.4	457	0	457	762
Program Related Asset	10.3	1,485	0	1,485	929
<i>Total fixed assets</i>		14,386	363	14,749	16,248
Current assets:					
Debtors	11.1	1,322	0	1,322	1,892
Cash at bank and in hand	11.2	5,952	0	5,952	3,985
<i>Total current assets</i>		7,274	0	7,274	5,876
Liabilities:					
Creditors: Amounts falling due within one year	12	1,651	0	1,651	1,959
Provisions for liabilities: Amounts falling due within one year	14	2,468	0	2,468	1,934
<i>Net current assets/liabilities</i>		3,155	0	3,155	1,983
<i>Total assets less current liabilities</i>		17,541	363	17,904	18,231
Provisions for liabilities: Amounts falling due after more than one year	14	652	0	652	580
<i>Total net assets or liabilities</i>		16,889	363	17,252	17,651
The funds of the charity:					
Restricted income funds	17.1	0	363	363	1,108
Unrestricted funds	17.2	16,889	0	16,889	16,543
<i>Total charity funds</i>		16,889	363	17,252	17,651

Approved and authorised for issue by the Board and signed on their behalf.

Signed:



Trustee Dave Mackay (Chair)



Trustee Ru Watkins

Date: 24 August 2022

Date: 24 August 2022

The notes at pages 32 to 43 form part of these accounts.

Consolidated Statement of Cash Flows

		2021/22	2020/21
		£000	£000
Cash flows from operating activities:			
Net cash used in / provided by operating activities	Table A	(443)	(72)
Cash flows from investing activities:			
Dividends, interest and rents from investments	10.2	522	587
Proceeds from the sale of property, plant and equipment		0	0
Purchase of property, plant and equipment	10.3	(112)	0
Proceeds from sale of investments	10	2,000	12,563
Purchase of investments	10	0	(12,089)
Net cash provided by (used in) investing activities		2,410	1,061
Cash flows from financing activities:			
Repayments of borrowing Gift Aided from QEHBC CIC		0	0
Cash inflows from new borrowing		0	0
Receipt of endowment		0	0
Net cash provided by (used in) financing activities		0	0
Change in cash and cash equivalents in the reporting period		1,967	989
Cash and cash equivalents at the beginning of the reporting period		4,000	3,011
Change in cash and cash equivalents due to exchange rate movements		0	0
Cash and cash equivalents at the end of the reporting period	Table B	5,967	4,000

Table A: Reconciliation of net income/(expenditure) to net cash flow from operating activities

		2021/22	2020/21
		£000	£000
Net movement in funds for the period		(399)	3,964
Adjustments for:			
Depreciation charges	10.4	417	409
(Gains) on investments		(806)	(2,169)
Dividends, interest and rents from investments	10.2	(522)	(587)
(Increase)/Decrease in Stock	11.4	(1)	4
(Increase) / Decrease in debtors	11.2	570	(740)
(Decrease) / Increase in creditors	12	(309)	624
(Decrease) / Increase in provisions	14	606	(1,577)
Net cash (used in) provided by operating activities		(443)	(72)

Table B: Analysis of cash and cash equivalents

		2021/22	2020/21
		£000	£000
Cash in hand	11.3	5,967	4,000
Total cash and cash equivalents		5,967	4,000

Notes to the Accounts

The company was incorporated as a company limited by guarantee on 1st April 2016. It is registered in England.

1 Accounting Policies

1.1 a) Basis of preparation and assessment of going concern

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2015) - (Charities SORP (FRS 102)) and the Companies Act 2006.

University Hospitals Birmingham Charity meets the definition of a public benefit entity as defined by FRS 102. Assets and liabilities are initially recognised at historic cost or transaction value with the exception of investments which are included at market value.

The Trustees consider that there are no material uncertainties about the Charity's ability to continue as a going concern. There are no material uncertainties affecting the current year's accounts.

The Trustees have considered the impact of COVID-19 and the recovery of revenue and do not anticipate a significant doubt on the company's ability to continue as a going concern. COVID-19 caused a reduction in income for 2021-22 but Trustees are confident income levels will recover in the future.

1.2 Group Financial Statements

Group financial statements consolidate the results of the charity and its wholly owned subsidiary UHB Trading CIC on a line-by-line basis. A separate income statement and balance sheet for the subsidiary is presented in note 2.2

1.3 Structure of Funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified either as an *endowment fund*, where the donor has expressly provided that only the income of the fund may be applied, or as a *restricted income fund* where the donor has provided for the donation to be spent in furtherance of a specified charitable purpose. Endowment funds, where the capital is held to generate income for charitable purposes, are sub analysed between those where the Trustees have the discretion to spend the capital, *expendable endowment*, and those where there is no discretion to expend the capital, *permanent endowment*.

Those funds which are neither endowment nor restricted income funds, are *unrestricted income funds* which are sub-analysed between *designated (earmarked) funds* where the donor has made known their non-binding wishes or where the Trustees, at their discretion, have created a specific fund for a specific purpose, and *wholly unrestricted funds* which are wholly at the Trustees' unfettered discretion.

The major funds held in each of these categories are disclosed in Note 17.

1.4 Income

All income is recognised in the Statement of Financial Activities when the charity has entitlement to the income, any performance conditions have been met, it is probable that the income will be received and the amount can be quantified with reasonable accuracy.

For legacies, entitlement is taken as the earlier of the date on which either: the charity is aware that probate has been granted, the estate has been finalised and notification has been made by the executor(s) to the Charity that sufficient funds exist to enable a distribution to be made, all conditions within the legacy have been fulfilled or are within the Charity's control or when a distribution is received from the estate. Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material (see note 13).

1.5 Donated Goods and Services - Role of Volunteers

Due to the nature of the role of volunteers it is not possible to quantify the monetary value of their varied contributions but an outline of the activities they perform freely is explained below:-

It should be noted that all Trustees / Company Directors give their time and skills freely to run the Charity .

Fund Advisors - there are about 600 UHB NHSFT staff who, through delegated Trustee Authority make recommendations as to how the charity's designated funds are spent to benefit the patients of the hospital. These funds are designated (or earmarked) by the trustees to be spent for a particular purpose or in a particular ward or department in accordance with the purpose of the donation. Each fund advisor has delegated power to authorise up to £1,000 of expenditure from the relevant designated fund. Fund advisors wishing to recommend in excess of £1,000 require authority from the Charity Chief Executive who has Trustee delegated authority to spend up to £10,000, requests in excess of this amount up to £30,000 require the authority of the Charity Chairman and requests over this value require full Trustee Board approval.

Fund Raisers - there are many volunteers locally who actively support the fundraising for the charity by directly raising funds, using collection tins as well as volunteers who undertake sponsored activities and collect through online support pages. Activities include, cycling, skydiving and walking. The charity also has a team of regular volunteers, totalling circa 25, who volunteer in the Fund Raising Hubs within the hospitals. However, the team were unable to attend due to the Covid-19 pandemic.

There is a regular volunteer gardening group for Fisher House totalling circa 8 people.

Donated Goods - Goods or service donated in order to help the charity raise funds by onwards sale or auction are recognised at market or face value where it is readily available or Trustees best estimate. The charity are not holding any such assets at the balance sheet date.

1.6 Expenditure and Irrecoverable VAT

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

Included within provisions are the values of unpaid grants awarded by the trustees at the balance sheet date. These grants are considered individually with regards to the timings of the requirements to allow the provisions to be split between amounts considered expendable within one year and amounts expendable after one year.

Irrecoverable VAT is charged against the category of expenditure for which it was incurred.

1.7 Allocation of Overhead and Support Costs

Overhead and support costs which are not wholly attributable to an expenditure type have been apportioned between Costs of Raising Funds and Charitable Activities. The analysis of overhead and support costs and the basis of allocation is shown in note 5.

- a) Costs of Generating Funds are all costs attributable to generating income for the charity other than income arising from charitable activities, and represent fundraising costs together with investment management fees.
- b) Costs of Charitable Activities comprise all expenditure identified as wholly or mainly incurred in the pursuit of the charitable objects of the charity. These costs, where not wholly attributable, are apportioned and in addition to direct costs include an apportionment of overhead and support costs as disclosed in note 5.1.

1.8 Fixed Assets

Investments

Investments - are stated at market value as at the balance sheet date. The Statement of Financial Activities includes the net gains and losses arising on revaluation and disposals throughout the year. The restatement is due to the cost price of the investment holdings being adjusted and the incorporation of the value of the investment properties which had previously been omitted.

Investment Property

In February 2020 the charity acquired Bottle Cottages comprising of 11 flats, of which 5 flats are being held as program related assets with the remaining being held as investment properties. A further property at Elmbank was also acquired for the program related asset portfolio on 6th November 2019. The value of the properties were reviewed by Cottons Chartered Surveyors and evaluated against local market conditions etc.

Exceptionally, where the size or nature of a holding of securities is such that the disposal of those securities would have a material effect on the quoted market price, a separate disclosure by way of note would advise of the adjustment to the market price and valuation necessary to reflect this situation. Currently the Charity does not hold any investments of this type.

Tangible Assets

Fisher House is held at cost less depreciation over a 10 year term as per note 10.4

Hepatitis Van - this mobile unit is depreciated over the deemed useful life of 3 years

Man Van - This mobile unit has been depreciated over the deemed useful life of 3 Years

Programme Related Asset

The programme related asset No 2 Elizabeth Court (50% share) has been transferred to an Investment asset in the current year and is carried at market valuation as at 31st March 2022, provided by Cottons Chartered
In February 2017 the Charity acquired a further programme related asset, No 7 Elizabeth Court (100% share).

In February 2020 the charity acquired Bottle Cottages comprising of 11 flats, of which 5 flats are being held as program related assets with the remaining being held as investment properties. A further property at Elmbank was also acquired for the program related asset portfolio. The value of the properties were reviewed by Cottons Chartered Surveyors and evaluated against local market conditions etc.

1.9 Gains and Losses

All gains and losses on investments are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening carrying value (purchase price if later). Unrealised gains and losses are calculated as the difference between the market value at the year end and the opening market value (or purchase price if later).

1.10 Debtors

Debtors are measured at their recoverable amount, there are currently no provisions for bad or doubtful debts.

1.11 Cash at bank and in hand

Cash at bank and in hand includes cash and any short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

1.12 Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably.

1.13 Pensions

NHS Pension Scheme

Past and certain present employees are covered by the provisions of the two NHS Pension Schemes. Details of the benefits payable and rules of the Schemes can be found on the NHS Pensions website at www.nhsbsa.nhs.uk/pensions.

Both are unfunded defined benefit schemes that cover NHS employers, GP practices and other bodies, allowed under the direction of the Secretary of State in England and Wales. They are not designed to be run in a way that would enable NHS bodies to identify their share of the underlying scheme assets and liabilities. Therefore, each scheme is accounted for as if it were a defined contribution scheme: the cost to the NHS body of participating in each scheme is taken as equal to the contributions payable to that scheme for the accounting period.

In order that the defined benefit obligations recognised in the financial statements do not differ materially from those that would be determined at the reporting date by a formal actuarial valuation, the FReM requires that "the period between formal valuations shall be four years, with approximate assessments in intervening years". An outline of these follows:

a) Accounting valuation

A valuation of scheme liability is carried out annually by the scheme actuary (currently the Government Actuary's Department) as at the end of the reporting period. This utilises an actuarial assessment for the previous accounting period in conjunction with updated membership and financial data for the current reporting period, and is accepted as providing suitably robust figures for financial reporting purposes. The valuation of scheme liability as at 31 March 2022, is based on valuation data as 31 March 2021, updated to 31 March 2022 with summary global member and accounting data. In undertaking this actuarial assessment, the methodology prescribed in IAS 19, relevant FReM interpretations, and the discount rate prescribed by HM Treasury have also been used.

The latest assessment of the liabilities of the scheme is contained in the report of the scheme actuary, which forms part of the annual NHS Pension Scheme Accounts. These accounts can be viewed on the NHS Pensions website and are published annually. Copies can also be obtained from The Stationery Office.

b) Full actuarial (funding) valuation

The purpose of this valuation is to assess the level of liability in respect of the benefits due under the schemes (taking into account recent demographic experience), and to recommend contribution rates payable by employees and employers.

The latest actuarial valuation undertaken for the NHS Pension Scheme was completed as at 31 March 2016. The results of this valuation set the employer contribution rate payable from April 2019 to 20.6%, of pensionable pay. .

The 2016 funding valuation was also expected to test the cost of the scheme relative to the employer cost cap set following the 2012 valuation. There was initially a pause to the cost cap that was set following the 2021 valuations, due to the uncertainty around member benefits caused by the discrimination ruling to the McCloud case

HMT published valuation directions dated 7 October 2021 (see Amending Directions 2021) that set out the technical detail of how the costs of remedy are included in the 2016 valuation process. Following these directions, the scheme actuary has completed the cost control element of the 2016 valuation for the NHS Pensions Scheme, which concludes no changes to benefits or member contributions are required. The 2016 valuation reports can be found on the NHS Pensions website at <https://nhsbsa.nhs-pension-scheme-accounts-and-valuation-reports>.

National Employment Savings Trust Scheme (NEST)

The Charity also contributes to the National Employment Savings Trust Scheme (NEST), a defined contribution pension scheme.

A defined contribution pension scheme is a post-employment benefit plan under which the Charity pays fixed contributions into a separate entity and will have no legal or constructive obligation to pay further amounts. Contributions are therefore charged to the SOFA in the year in which they become payable in accordance with the rules of the scheme.

2 Prior Year Comparatives

The primary statements provide prior year comparators in total. The individual comparators for the charity can be found within the relevant note to the accounts.

2.1 Related party transactions and trustees' expenses and remuneration

Patients of University Hospitals Birmingham NHS Foundation Trust are the main beneficiary of the charity. The charity has made charitable grants to University Hospitals NHS Foundation Trust and these are detailed in note 6.2.

University Hospitals Birmingham NHS Foundation Trust provides a financial and administration service to the charity by agreement with the Trustees. The charges made by the NHS Foundation Trust are set at a fair open market rate and are included in the overhead and support costs detailed in note 6.1.

None of the trustees or parties related to them, has undertaken any transactions with University Hospitals Birmingham Charity or received any benefit from the charity in payment or kind.

None of the trustees have been paid any remuneration or received any other benefits from an employment with University Hospitals Birmingham Charity. During the year, one of the Trustees was an employee of the University Hospitals Birmingham NHS FT and is paid on normal pay scales for their role in the Trust. They are not remunerated for their role as a Trustee of the charity or other work performed for the charity. Their remuneration for their work for the Trust is included in the accounts of the Trust along with any other disclosures. A further Trustee serves on the Trust's Board of Governors, unpaid. The trustees have not purchased trustee indemnity insurance

During the year expenses for trustees paid directly to a third party were paid as below. (2020/21 £0)

	Accom/Subs	Travel	Training	Total
	£	£	£	£
2021/22	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>

The value of unclaimed travel expenses during the year was £381.52 (nil 2020/21 because of virtual meeting only)

- 2.2** The Charity opened a wholly owned trading subsidiary in August of 2018. 'UHB Trading CIC' which gift aids all of its profits to the charity. UHB Trading CIC is a community interest company limited by guarantee, registered in England and Wales No. 11334657 which sells branded merchandise and generates income from commercial exploitation of the charity's brands. As the Charity controls the Company its accounts have been consolidated into those of the charity. UHB Trading CIC stand-alone trading results for 2020/21 are shown below.

Registered office for UHB Trading CIC: 5th Floor Nuffield House, Queen Elizabeth Hospital, Birmingham, West Midlands, B15 2TH

UHB Trading CIC Income Statement	2021/22	2020/21
	£'s	£'s
Turnover		
Operating Income	2,312	10,520
Expenditure		
Cost of Sales	<u>(839)</u>	<u>(6,630)</u>
Gross profit	<u>1,473</u>	<u>3,890</u>
Administration Expenses	(431)	(1,831)
Interest receivable		0
Interest Payable	<u>(955)</u>	<u>(1,285)</u>
Profit for the year	<u>87</u>	<u>774</u>
Gift Aid Donation to UHB Charity	<u>(87)</u>	<u>(16,610)</u>
Retained Profit b/f	<u><u>0</u></u>	<u><u>15,836</u></u>

UHB Trading CIC Statement of Position	2021/22	2020/21
	£'s	£'s
Assets Employed		
Current Assets		
Stock	15,877	15,488
Debtors		0
Cash in Hand and at Bank	<u>14,457</u>	<u>15,491</u>
	<u>30,334</u>	<u>30,979</u>
Current Liabilities		
	(30,334)	(30,979)
Net Assets	<u><u>0</u></u>	<u><u>0</u></u>
Financed by		
Reserves	<u><u>0</u></u>	<u><u>0</u></u>

3 Income from Donations and Legacies

	2021/22			2020/21		
	Unrestricted Funds £000	Restricted Funds £000	Total 2021 £000	Unrestricted Funds £000	Restricted Funds £000	Total 2020 £000
Donations from individuals	756	0	756	885	1	886
Donations from companies	452	0	452	670	0	670
Legacies	1,203	0	1,203	1,327	0	1,327
Grants	199	0	199	1,275	0	1,275
	2,610	0	2,610	4,157	1	4,158

3.1 Income from Charitable Activities

	2021/22			2020/21		
	Unrestricted Funds £000	Restricted Funds £000	Total 2021 £000	Unrestricted Funds £000	Restricted Funds £000	Total 2020 £000
Income from Fundraising Events	526	1	527	1,093	0	1,093

4 Loan Interest Receivable

	Capital £'s	Interest on loan Calculated Repayment £'s	Outstanding £'s
01/04/21 Bal B/Fwd	30,000		30,000
31/03/22 Interest applied	0	955	30,955
04/02/2022 Interest Paid	0	-955	30,000

5 Allocation of Support Costs and Overheads

Support and overhead costs are allocated between fundraising activities and charitable expenditure. The basis of allocation is the number of transactions processed.

	2021/22			2020/21		
	Unrestricted Funds £000	Restricted Funds £000	Total 2021 £000	Unrestricted Funds £000	Restricted Funds £000	Total 2020 £000
Salaries & Pension	192.1	0	192.1	226.2	0	226.2
Travel	0.4	0	0.4	0.0	0	0.0
Training	4.4	0	4.4	1.1	0	1.1
Office Costs	23.9	0	23.9	8.4	0	8.4
Stationery	8.6	0	8.6	4.2	0	4.2
Insurance	7.5	0	7.5	8.2	0	8.2
Subscriptions	1.6	0	1.6	1.9	0	1.9
Trustee training and Expenses	0.0	0	0.0	0.0	0	0.0
Professional fees	0.9	0	0.9	0.0	0	0.0
Internal Audit	16.2	0	16.2	2.0	0	2.0
External Audit	16.4	0	16.4	13.2	0	13.2
Bank Charges	0.0	0	0.0	0.7	0	0.7
Finance SLA	139.1	0	139.1	139.1	0	139.1
Other	5.1	0	5.1	12.0	0	12.0
	416.2	0.0	416.2	417.0	0.0	417.0

All support costs have been allocated to unrestricted funds due to minimal restricted transactions on the basis of the number of transactions processed and is shown at note 6.1 of these accounts.

5.2 Governance Costs

Calculated governance costs for 2021/22 are £66.1k (2020/21 £52.4k). They relate to costs associated with the governance of operating the charity and include such items as Statutory Audit, Company registration and legal costs as well as an apportionment of remuneration and financial services costs for time spent on governance issues.

6 Analysis of Charitable Expenditure

Expenditure on charitable activities was £4,164k (2021: £3,425k) of which £759k was restricted (2021: £0k).

The charity, under a Scheme of Delegation, either incurred expenditure with third parties in pursuance of grants or reimbursed expenditure incurred by beneficiaries. The charity did not undertake any direct charitable activities during the year.

Support costs attributable to Charitable Expenditure have been apportioned between categories on the basis of the number of individual transactions undertaken by the charity.

	2021/22			2020/21		
	Grant Funded	Support	Total	Grant Funded	Support	Total
	Activity	Costs	2022	Activity	Costs	2021
	£000	£000	£000	£000	£000	£000
Medical Research	(405)	50.0	(355)	83.8	65.8	150
Purchase of Equipment	1,346.0	117.0	1,463	377.8	144.6	523
Building and Refurbishment	1,559.0	4.6	1,564	603.5	5.8	609
Staff Education and Welfare	474.0	96.4	570	328.9	88.6	418
Patient Education and Welfare	174.0	45.9	220	767.7	46.3	814
Programme related running costs	244.9	38.5	283	122.6	31.5	154
Depreciation Costs	419.5	0.9	420	408.5	0.9	409
Grants to other Bodies	(2.7)	0.8	(1.9)	347.9	0.5	348
	3,809.7	354.1	4,164	3,040.8	384.0	3,425

- 6.1 The remaining 62.6k support costs (£33.2k 2020/21) have been allocated to the Costs of Raising Funds on the basis of the number of transactions. Due to the minimal level of transactions on Restricted funds all costs are assigned to Unrestricted funds (2020/21 nil).

	2021/22			2020/21		
	Grant Funded	Support	Total	Grant Funded	Support	Total
	Activity	Costs	2022	Activity	Costs	2021
	£000	£000	£000	£000	£000	£000
Costs of Raising Funds	545.6	62.6	608	404.3	33.2	438
Costs of Fundraising Events	75.1	0.0	75	165.3	0.0	165
Investment Management Costs	16.6	0.0	17	15.9	0.0	16
	637.3	62.6	700	585.5	33.2	619

6.2 Grants

The charity does not make grants to individuals.

The Trustees operate a Scheme of Delegation under which Fund Advisors manage day to day disbursements in accordance with protocols set out by the Trustees. Funds disbursed in this way represent ongoing activity which is not possible to segment into individual grant awards.

Institution Receiving Support	2021/22	Total	2020/21	Total
	Number of Grants	2022	Number of Grants	2021
		£000		£000
University Hospitals Birmingham NHS Foundation Trust	*	4,787	*	3,805
University of Birmingham	*	65	*	238
		4,852		4,043

* As per Scheme of Delegation

7 Analysis of staff costs

No staff costs are contained within the Trading Company.

	Total	Total
	2022	2021
	£000	£000
Salaries and wages	518	530
Social security costs	49	49
Other pension costs	36	28
	603	607
Pension Costs are split as follows		
Defined Contribution Scheme	13	16
NHS Pensions Defined Benefit Scheme	23	12
	36	28
Outstanding contributions at year end were:		
Defined Contribution Scheme	5	2
NHS Pensions Defined Benefit Scheme	0	0
	5	2
Average full time equivalents of employees in the year:	19.0	18.0
Average head count during the year:	16.3	19.5

During the year £6.6k has been received via HMRC in relation to furloughed staff.

- 8 The key management personnel for both the Charity and the UHB Trading CIC comprise the Trustees (unpaid) and the Charity Chief Executive who was paid a salary of £90,000 plus pension contributions of £12,942. No other members of staff were paid in excess of £60,000.

9 **Auditor's Remuneration**

The external auditor's remuneration of £16,400 (2020/21: £13,860) related solely to statutory audit work. The charity did not commission any additional work from the auditor (2020/21 nil).

10

Analysis of Fixed Asset Investments

Movements during the year

	2022	2022	2021	2021
	Charity	Group	Charity	Group
	£000	£000	£000	£000
Equities, Securities and Property				
Market value at start of year	14,557	14,557	12,862	12,862
Less: Disposals at carrying value	(1,864)	(1,864)	(11,037)	(11,037)
Add: Acquisitions at cost	0	0	12,089	12,089
Transfer (to) from programme related asset	(240)	(240)	0	0
Net gains (losses) on revaluation	354	354	643	643
Market value at end of year	<u>12,807</u>	<u>12,807</u>	<u>14,557</u>	<u>14,557</u>
Historic cost at year end	<u>11,857</u>	<u>11,857</u>	<u>13,898</u>	<u>13,898</u>
Proceeds from the sale of investments in year	2,000	2,000	12,563	12,563

10.1 **Market value at 31 March - All held in the UK**

	2022	2022	2021	2021
	Charity	Group	Charity	Group
	£000	£000	£000	£000
Schroders Responsible Multi Asset Fund	11,463	11,463	12,732	12,732
Investment Property	1,259	1,259	1,740	1,740
Leasehold Properties	77	77	77	77
Other Investments	8	8	8	8
	<u>12,807</u>	<u>12,807</u>	<u>14,557</u>	<u>14,557</u>

10.2 **Total gross income from Charity and Group**

	2021/22	2020/21
	Total	Total
	£000	£000
a) Fixed Asset Investments		
Investments listed on a recognised Stock Exchange	477	527
b) Current Assets		
Interest earned on cash deposits and inter co. loan in the year	13	3
c) Investment Properties		
Rent received	32	57
	<u>522</u>	<u>587</u>

10.3 **Programme Related Asset**

All assets relate to the Charity

	2022	2022	2021	2021
	Charity	Group	Charity	Group
	£000	£000	£000	£000
Freehold Property				
Market Value at start of Year	929	929	929	929
Transfer to Investment Asset	240	240	0	0
Net (Loss) Gain on revaluation	316	316	0	0
	<u>1,485</u>	<u>1,485</u>	<u>929</u>	<u>929</u>
Historic Cost	772	772	867	867

10.4 Tangible Fixed Assets	Fisher House		Hepitus Van		Man Van		Total	
	2022	2022	2022	2022	2022	2022	2022	2022
	Charity £000	Group £000	Charity £000	Group £000	Charity £000	Group £000	Charity £000	Group £000
COST as at 01 April 2021	3,724	3,724	0	0	108	108	3,832	3,832
Additions	0	0	112	112	0	0	112	112
Disposals	0	0	0	0	0	0	0	0
	<u>3,724</u>	<u>3,724</u>	<u>112</u>	<u>112</u>	<u>108</u>	<u>108</u>	<u>3,944</u>	<u>3,944</u>
ACCUMULATED DEPRECIATION								
As at 1st April 2021	2,980	2,980	0	0	90	90	3,070	3,070
Charge for the year	371	371	28	28	18	18	417	417
Disposals	0	0	0	0	0	0	0	0
Depreciation as at 31st March 2022	<u>3,351</u>	<u>3,351</u>	<u>28</u>	<u>28</u>	<u>108</u>	<u>108</u>	<u>3,487</u>	<u>3,487</u>
Net Book Value as at 31st March 2021	<u>744</u>	<u>744</u>	<u>0</u>	<u>0</u>	<u>18</u>	<u>18</u>	<u>762</u>	<u>762</u>
Net Book Value as at 31st March 2022	<u>373</u>	<u>373</u>	<u>84</u>	<u>84</u>	<u>0</u>	<u>0</u>	<u>457</u>	<u>457</u>

11 Analysis of Current Assets

11.1 Amounts falling due within one year:

	2021/22 Charity £000	2021/22 Group £000	2020/21 Charity £000	2020/21 Group £000
Trade debtors	107	107	136	136
Accrued income	1,053	1,053	1,720	1,720
Prepayments	132	132	5	5
Inter Company balance Due	30	0	30	0
Total debtors falling due within one year	<u>1,322</u>	<u>1,292</u>	<u>1,891</u>	<u>1,861</u>

11.2 Cash at Bank and in Hand

	2021/22 Charity £000	2021/22 Group £000	2020/21 Charity £000	2020/21 Group £000
Cash	5,952	5,967	3,985	4,000

11.3 Stock held for sale

	2021/22 Charity £000	2021/22 Group £000	2020/21 Charity £000	2020/21 Group £000
Stock	0	16	0	15

12 Creditors

	2021/22 Charity £000	2021/22 Group £000	2020/21 Charity £000	2020/21 Group £000
Amounts falling due within one year:				
Trade creditors	950	950	1,302	1,313
Accruals	701	702	657	646
Total creditors falling due within one year	<u>1,651</u>	<u>1,652</u>	<u>1,959</u>	<u>1,959</u>

13 Contingent assets – legacy income

As at 31 March the charity had been notified of 12 legacies, the value of which cannot be ascertained with accuracy, all being a share of a residue amount.

14 Liabilities and Provisions for Charity and Group	Charitable Expenditure	Other Expenditure	2022 Total	2021 Total
Movements during the year	£000	£000	£000	£000
Opening Provisions	2,514	0	2,514	4,090
Add: New provisions charged in the year	3,149	0	3,149	1,413
Less: Provisions released in the year	(1,870)	0	(1,870)	(2,975)
Change in value of brought forward provisions	(673)	0	(673)	(14)
Provisions outstanding at end of year	<u>3,120</u>	<u>0</u>	<u>3,120</u>	<u>2,514</u>
Provisions Payable within one year	2,468	0	2,468	1,934
Provisions payable after more than one year	652	0	652	580
Provisions outstanding at end of year	<u>3,120</u>	<u>0</u>	<u>3,120</u>	<u>2,514</u>

Provisions included in the accounts relate to grants payable, (charitable expenditure for both years) which have been approved by the Trustees but not yet paid.

15 Commitments for Charity and Group

Trustees have entered into arrangements with future commitments as follows:

	2022 Land & Buildings	2022 Other	2021 Land & Buildings	2021 Other
	£000	£000	£000	£000
Expiring within a year				
Finance SLA	<u>0</u>	<u>145</u>	<u>0</u>	<u>139</u>
	<u>0</u>	<u>145</u>	<u>0</u>	<u>139</u>
Expiring between 2 - 5 years	0	0	0	0

16 Transfers Between Funds

The transfer of £13.8k back into the restricted Get Ahead fund has been made due to the post the money was funding not being filled. The original transfer was made to cover salary costs. (2020/21 Nil)

17 Analysis of Charitable Funds**17.1 Restricted Funds for Charity and Group**

	Balance 1 April 2021 £000	Income £000	Expenditure £000	Transfers £000	Gains and Losses £000	Balance 31 March 2022 £000
R1 Hollier Legacy	1,084.8	0.0	(746.5)	0.0	0.0	338.3
R2 Hear and Now	22.1	0.3	(0.8)	0.0	0.0	21.6
R3 Get Ahead	0.9	0.8	(12.7)	14	0.0	3.0
Total Restricted Funds	1,107.8	1.1	(760.0)	14	0.0	363

The objects of the restricted funds are as follows

R1 For the training and education of doctors at Good Hope Hospital

R2 For the cochlear implant programme.

R3 Funds used to purchase vital equipment, education and research into cancer and other head and neck diseases.

17.2 Unrestricted and Designated (Earmarked) Funds

The Trustees set a balance (opening or closing) of £110,000 or above as the threshold for reporting material designated funds.

In the interest of accountability and transparency a summary of all designated funds is available upon written request.

Those funds above the Trustees set balance of £110,000 are disclosed below:

	Balance 1 April 2021 £000	Income £000	Expenditure £000	Transfers £000	Gains and Losses £000	Balance 31 March 2022 £000
Earmarked Funds						
E1 Miscellaneous Fundraising	1,484.1	46.6	7.7	435.5	0.0	1,973.9
E2 UHB Transplant Centre	569.0	49.3	0.0	514.8	0.0	1,133.1
E3 Dormant Funds	8.1	0.3	(1.3)	574.5	0.0	581.6
E4 Ward 19 Charity Fund Heartlands	508.5	17.7	1.5	(14.0)	0.0	513.7
E5 Good Hope Legacies	50.5	261.2	0.0	0.0	0.0	311.7
E6 BHam Hospitals Childrens Fund	507.6	51.5	(242.8)	(44.6)	0.0	271.7
E7 Oncology Research Fund	249.2	0.1	0.0	0.0	0.0	249.3
E8 Man Van	247.8	0.0	(40.5)	0.0	0.0	207.3
E9 Junior Doctors Welfare	338.6	0.0	0.0	(133.8)	0.0	204.8
E10 Ambulatory Care and Diagnostics	316.3	0.9	0.0	(114.4)	0.0	202.8
E11 Fisher House	242.1	139.7	(99.9)	(100.0)	0.0	181.9
E12 Inherited Metabolic Disease	131.3	1.2	(8.0)	47.4	0.0	171.9
E13 Staff Welfare and Support	797.9	26.7	(71.9)	(588.8)	0.0	163.9
E14 The Giles Trust	122.0	72.5	(4.3)	(30.1)	0.0	160.1
E15 Endocrine Research Fund	165.9	0.0	(6.2)	0.0	0.0	159.7
E16 UHB Miscellaneous	102.6	36.3	0.0	(1.4)	0.0	137.5
E17 Plastic Surgery	117.1	26.2	(6.4)	0.0	0.0	136.9
E18 QE Fighting Skin Cancer	99.2	11.2	(0.1)	4.1	0.0	114.4
E19 Neuro Endocrine Tumours	104.3	6.3	0.0	0.0	0.0	110.6
E20 Heartlands Neonatal Unit	87.6	23.8	(1.1)	(0.6)	0.0	109.7
E21 Medical Research	1.2	0.0	(1.4)	109.7	0.0	109.5
E22 UHB Sustainability & Green Travel	300.0	0.0	0.0	(200.0)	0.0	100.0
E23 Liver Laparoscopic Operating Theatre	353.1	0.0	0.0	(353.1)	0.0	(0.0)
Other earmarked funds	8,150.0	2,885.9	(3,629.2)	(118.8)	0.0	7,287.9
All Funds Gain/(Loss) on Investments	1,489.0	0.0	0.0	0.0	806.0	2,296
Total Unrestricted Funds	16,543	3,657	(4,104)	(14)	806	16,889
UHB Trading CIC	0	2	-2	-0	0	0.00
Consolidated Total	17,651	3,661	(4,866)	0	806	17,252

**Unrestricted and Designated
(Earmarked) Funds - *continued***

The objectives of the material designated funds are as follows:-

- E1 Various fundraising projects that are not identified in another earmarked fund
 - E2 To create a Birmingham Transplant Centre at UHB
 - E3 Funds not active for over twelve months
 - E4 Support for the Haematology & Oncology Units
 - E5 Legacies for the benefit of Good Hope Hospital
 - E6 Support for the children’s wards and departments at UHB
 - E7 Support for cancer research at UHB
 - E8 Support costs for the Man Van project (Prostate Cancer)
 - E9 Support of junior doctors
 - E10 Support for the Heartlands Treatment Centre (formerly Ambulatory Care & Diagnostics)
 - E11 Fisher House, home away from home for military patients and their
 - E12 Support for the inherited metabolic disease unit
 - E13 Staff welfare andd Support
 - E14 Support for brain tumour departments at UHB
 - E15 Support for the endocrine service at UHB
 - E16 General support of services at UHB
 - E17 Support for the plastic surgery service at UHB
 - E18 Support for the skin cancer department at UHB
 - E19 Support for the skin cancer department at UHB
 - E20 Support for the neuro endocrine service at UHB
 - E21 Medical Research
 - E22 Sustainability and green travel programmes at UHB
 - E23 To create a liver laparoscopic operating theatre
- Other Designated Funds related to other wards and clinical departments within the University Hospitals Birmingham NHS Foundation Trust for which donors have indicated their non-binding wishes when making their generous gifts.

